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AFGL-TR-85-0180 ENVIRONMENTAL RESEARCH PAPERS, NO. 924

# Peak-Flux-Density Spectra of Large Solar Radio Bursts and Proton Emission From Flares

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19 August 1985



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waves and interplanetary proton events. They also suggest that the meter wavelength branch of the U-shaped spectrum may be attributable to second phase (vs flash phase) accelerated electrons. We have examined this latter supposition and find that it cannot be true in general, because for only about half of the bursts with the U-shaped spectrum (U-bursts) in our sample was a Type II in progress at the time of the peak of the low frequency branch. For these events one cannot rule out a possible contribution to the peak 200-MHz flux from either the second harmonic of the Type II burst or from flare continuum of the type FC II, provided that the starting frequency of the fundamental Type II burst is > 100 MHz. The low frequency branch of the U-burst appears to be more closely related to impulsive phase Type III emission. We note that the small sample of U-bursts that lacked Type II/IV association is also poorly associated with proton events, and conclude that the observed association between U-bursts and proton events probably results from the Big Flare Syndrome rather than a close physical link between these two phenomena.

If the current NOAA prediction threshold of J (> 10 MeV)  $\geq$  pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup> had been in effect during the period covered by our data base (1965-1979), the U-burst "yes or no" forecast tool would have had a false alarm rate of 50 to 70 percent and would have failed to provide warning for 40 to 50 percent of the significant prompt proton events attributable to disk flares. We note that several (8 of 46) of the prompt proton events with J (> 10 MeV)  $\geq$  10 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup> observed from 1965 to 1979 originated in flares that had relatively weak ( $\leq$  300 sfu) burst emission at 200 MHz.

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### Preface

A shorter version of this study has been published in the Journal of Geophysical Research, July 1, 1985, Vol. 90, pp. 6251-6266 (AFGL-TR-85-0176). The tables of events omitted from the JGR paper have been included in this report. We thank R. E. McGuire for providing proton data plots and S. W. Kahler and M. A. Shea for critical readings of the manuscript. We are grateful to A. Novak for typing and editing assistance.

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## Contents

1.	INT	RODUCTION	1
2.	RAI	DIO AND PROTON DATA (1965-1979)	6
	2.1	Radio Data Sources	6
		Selection Criteria	6 7
		Constructing Spectra	7
	2.4	Spectral Classes	16
		Associated Sweep Frequency Meter Wavelength	
		Events	24
	2.6	Proton Data	28
	2.7	Major Proton Events, 1965-1979	30
3.	DAT	TA ANALYSIS	32
	3.1	Peak-Flux-Density Spectral Type vs Proton Events	32
		The U-Burst as a Forecast Tool	34
	3.3	Radio Signatures of Major Proton Events	37
	3.4	Microwave Spectral Class and Type II/IV Bursts	40
		Timing of Type II Burst and 200-MHz Peak	43
4.	DISC	CUSSION	45
	4 1	C	45
		Summary The U-Burst as a Prediction Tool	46
		The Low Frequency Branch of the U-Shaped Spectrum	47
		U-Bursts and the Big Flare Syndrome	48
		Impulsive Phase Proton Acceleration	48
		Proton Flares With Weak 200-MHz Emission	49
D F	ਰ ਜਾਜ਼ਾ	ENCES	50

## Illustrations

1.	Examples of the Classic U-Shaped Spectrum, With the Low Frequency Maximum Occurring Near 200 MHz	17
2.	Examples of the Classic U-Shaped Spectrum With the Low Frequency Maximum Occurring Near 200 MHz	18
3.	Examples of U-Shaped Peak-Flux-Density Spectra That Had Their Lower Frequency Maximum in the Decimetric Range	19
4.	Examples of U-Shaped Peak-Flux-Density Spectra That Had Their Lower Frequency Maximum in the Decimetric Range	20
5.	Four of the Ten Events in Table 1 That Were Classified as U-Bursts Because of Our Decision to Favor High Flux Values at 200 MHz	21
6.	The Timing of the Maximum $\sim 200\text{-MHz}$ Emission for the U-Bursts in Table 1 Relative to the Timing of the $\sim 10\text{-GHz}$ Maximum	22
7.	Examples of Microwave Bursts With What We Have Termed "Intermediate" Peak-Flux-Density Spectra	25
8.	Examples of Large Microwave Bursts With Cutoff or Quasi-Cutoff Spectra	26
9.	Examples of Large Microwave Bursts With Cutoff or Quasi-Cutoff Spectra	27
10.	Histograms of the Longitudinal Distributions of the H $\alpha$ Flares Associated With the Large Microwave Bursts in Table 1 Distributed According to Spectral Classification: (a) U-Shaped, (b) Intermediate, and (c) Cutoff	35
11.	Histogram of the Reported Peak-Flux Density at ~ 200 MHz for the Parent Flares of the Large [J (> 10 MeV) ≥ 10 pr cm <sup>-2</sup> sec <sup>-1</sup> sr <sup>-1</sup> ] Prompt Proton Events in Table 2 That Were Observed From 1965 to 1979	37
12.	Histograms of the Durations of (a) Type II and (b) Type IV Emission for the Largest [J (> 10 MeV) ≥ 10 pr cm <sup>-2</sup> sec <sup>-1</sup> sr <sup>-1</sup> ] Disk Flare (85°E ≥ φ ≤ 85°W) Associated Prompt Proton Events That Occurred From	
	1965 to 1979	40

## Tables

1.	Large Microwave Bursts 1965-1979: Peak-Flux-Density Spectra, and Sweep Frequency Burst and Proton Association	8
2.	Large Proton Events 1965-1979 With Unambiguous Visible-Disk-Flare Associations	31
3.	Peak-Flux-Density Spectral Class vs Proton Event Size	33
4.	Association of Sweep Frequency Bursts and Proton Events With Peak-Flux-Density Spectral Classes	41

#### Peak-Flux-Density Spectra of Large Solar Radio Bursts and Proton Emission From Flares

#### 1. INTRODUCTION

Castelli et al  $^1$  proposed that the "U-shaped" peak-flux-density radio spectrum, with high flux densities [  $\geq$  1000 solar flux units (1 sfu =  $10^{-22}$  W m $^{-2}$  Hz $^{-1}$ )] at meter and centimeter wavelengths and a minimum in the decimeter range, is the "preferred spectrum" for major solar proton flares. This concept was investigated in a series of papers by Castelli and his co-workers.  $^{2-6}$  In the initial reports on this topic,  $^{1,2}$  evidence was presented indicating that the U-shaped spectrum was a necessary or almost-necessary condition for a solar flare to produce a polar cap absorption (PCA) event. Thus, Castelli et al  $^1$  found U-shaped radio spectra for the three visible hemisphere PCA flares of 1966.

In a verification of the utility of the U-shaped spectrum, O'Brien compiled a comprehensive list of 30 microwave events with this spectral shape (U-bursts) from observations reported by Sagamore Hill, Manila, Nagoya, and Pennsylvania State University from 1966 to 1968. He associated 13 of these flare-bursts with principal ( $\geq 2.0~\mathrm{dB}$ ) of absorption measured by a 30-MHz riometer) PCA events and 14 with minor ( $< 2.0~\mathrm{dB}$ ) PCAs or with proton events detected only by satellites, but was unable to associate the three remaining U-bursts with a near-Earth

(Received for Publication 12 August 1985)

References 1 to 6 will not be listed here. See References, page 50.

particle enhancement. Significantly, in the reverse association, O'Brien found no cases of principal PCAs during this period that were not associated with U-bursts. Castelli and Barron<sup>5</sup> compiled a comprehensive list of 81 U-bursts from 1966 to 1976. For nine of these events, a major proton event (PCA) was in progress at the time of the U-burst and no fresh injection of protons was observed. Seventy of the remaining 72 events were associated either with PCAs (27 of which had peak absorption  $\geq 2.0$  dB) or satellite proton events. For the same period, 1966 to 1976, Castelli and Tarnstrom<sup>6</sup> published a catalog of 114 proton events that were associated with flares that did not have U-shaped microwave spectra. Seventy-six of these events could be identified with visible hemisphere flares, and, of these, only three were principal PCA events. Thus the current picture of the relationship between U-bursts and proton events is that the U-shaped spectrum is: (1) an almost sufficient condition (70/72 = 97 percent) for the occurrence of an interplanetary proton event of any size, and (2) an almost necessary condition (27/30 = 90 percent) for a principal PCA ( $\geq 2.0 \text{ dB}$ ) to occur.

Largely as a result of the efforts of Castelli and his colleagues, the presence/absence of a U-shaped spectrum is used as a "yes or no" indicator of significant proton acceleration in solar flare-bursts at the U. S. space forecasting centers in Boulder and Omaha. To Moreover, the successful application of the U, coupled with the ability to view the sun through clouds at radio wavelengths, was a significant factor in the evolution of the worldwide solar radio patrol of the USAF and the establishment of the present day Radio Solar Telescope Network (RSTN) that monitors solar emissions in the frequency range from 245 MHz to 15.4 GHz.

Despite the use of the U-shaped spectrum as a forecasting aid, however, certain questions about its development, pragmatic application, and physical interpretation remain unanswered. Kahler and Simnett (1980, private communications)

<sup>7.</sup> Heckman, G. (1979) Predictions of the space environment services center, in Solar Terrestrial Predictions Proceedings, vol. 1, p. 322, R. F. Donnelly, Ed., National Oceanic and Atmospheric Administration, Boulder, Colo.

<sup>8.</sup> Cliver, E. W., Secan, J. A., Beard, E. D., and Manley, J. A. (1978) Prediction of solar proton events at the Air Force Global Weather Central's space environmental forecasting facility, in Effect of the Ionosphere on Space and Terrestrial Systems, Conf. Proc., J. M. Goodman, Ed., U. S. Government Printing Office, Washington, D. C.

Thompson, R. L., and Secan, J. A. (1979) Geophysical forecasting at AFGWC, in Solar Terrestrial Predictions Proceedings, vol. 1, p. 350, R. F. Donnelly, Ed., National Oceanic and Atmospheric Administration, Boulder, Colo.

Castelli, J. P., Aarons, J., Guidice, D. A., and Straka, R. M. (1973) The solar radio patrol network of the USAF and its application, <u>Proc. IEEE</u> 61:1307.

<sup>11.</sup> Guidice, D. A., Cliver, E. W., Barron, W. R., and Kahler, S. (1981) The Air Force RSTN system, Bull. AAS 13:553.

pointed out that certain events in Castelli and Barron's list of 81 events did not appear to satisfy the stated definition of a U-burst, while other events whose peakflux-density spectra conformed to the definition were omitted. A preliminary inspection of the data compiled in Solar Geophysical Data 12 and the Quarterly Bulletin of Solar Activity 13 confirmed these apparent discrepancies and revealed others. Some of the difficulty lies in the definition of the U-shaped spectrum as stated by Castelli and Barron. 5

The criteria ... were that the flux density of the radio burst at time of maximum have a spectrum resembling a "U" where (1) flux density is rising in the short wavelength direction and is  $\gtrsim 1000$  [sfu] in the  $\lambda \sim 3$  cm range, (2) flux density in the decimeter range passes through an emission minimum, and (3) flux density in the long-meter-wavelength direction rises again to values  $\gtrsim 1000$  [sfu].

A shortcoming of this definition is that it contains no mention of the allowable separation in time between peaks at different frequencies. For certain events in Castelli and Barron's (CB) list (Nos. 6, 17, 22, and 61), the low frequency maximum occurs from 10 to 50 min after the ~10-GHz peak. In two of these events (Nos. 17 and 61), the  $\sim 200$ -MHz emission did not begin until  $\geq 15$  min after the centimeter wavelength maximum. Constructing peak-flux-density spectra from discrete frequency peaks separated by tens of minutes strains the credibility of the U as a forecast tool (and as a meaningful physical construct), since, given enough time and the relative high frequency of bursts at the longer wavelengths, unrelated microwave and meter wavelength bursts might be combined to give Ushaped spectra. For other events on CB's list, the desired result, association of U-bursts with principal PCA events, was assumed. For the 02 December 1968 event (No. 25), observations were not available above 2700 MHz (Penticton, 270 sfu), but O'Brien, by applying the average spectral index in the 3- to 9-GHz range for radio bursts associated with principal PCAs deduced that the U-shaped criteria would have been satisfied for this event had observations been available at 9 GHz. For the 02 November 1969 event (No. 36) associated with a flare  $\gtrsim 10^{\circ}$  behind the western limb, the highest flux value reported at frequencies < 1 GHz was 300 sfu (Moscow, 204 MHz). Castelli and Guidice 14 make the assumption that had this event occurred on the visible disk, a high flux, presumably 2 1000 sfu, would have

<sup>12.</sup> Solar Geophysical Data, National Oceanic and Atmospheric Administration, Boulder, Colo.

<sup>13.</sup> Quarterly Bulletin of Solar Activity, International Astronomical Union, Eidgen. Sternwarte, Zurich.

Castelli, J. P., and Guidice, D. A. (1972b) The radio event associated with the polar cap absorption event of 2 November 1969, in <u>Proc. of COSPAR</u> Symposium on Particle Event of November 1969, p. 27, J. C. Ulwick, Ed., AFCRL-72-0474, AD 763081.

been recorded at the longer wavelengths, giving a U-shaped spectrum for this event in accordance with the stated criteria. There are other difficulties with the CB list. The U-burst on 24 May 1972 had low frequency emission  $\gtrsim 1000$  sfu only at  $f \sim 100$  MHz, but it is included in CB's list (No. 55) despite statements  $^{4,10}$  that the U-shaped signature for proton events applies only to the spectral range from 200 MHz to  $\sim 10$  GHz. Finally, for the 01 November 1968 (No. 20) and 06 May 1969 (No. 34) events, the highest flux densities reported at  $f \leq 1000$  MHz are 400 sfu and 325 sfu, respectively. While the appropriateness of the inclusion of the above-mentioned events on the U-burst list of Castelli and Barron is debatable, other events that satisfied the U-shaped spectral criteria were omitted from the list. Well-defined examples of such events occurred on 04 September 1966 (0417 UT), 04 March 1967 (1716 UT), 21 March 1969 (1334 UT), 14 January 1971 (1122 UT), and 06 March 1972 (1116 UT).

From our perspective, a more fundamental question than the classification of individual events in previous studies of U-shaped spectra and proton events concerns the basic methodology of these studies. Despite the considerable effort that has been expended on investigations of the U-burst/proton event relationship, no systematic study has been undertaken to classify the peak-flux-density spectra of large solar bursts into different types and then to compare the proton association of non-U types with that of the U-bursts. Thus at present, we know neither the approximate fraction of large radio bursts that have U-shaped spectra, nor the degree of association between large bursts with non-U spectra and proton events. Until these questions are addressed, it is difficult to assess the value of the U as a yes or no forecast tool since it is not known how well it discriminates against large microwave bursts of different spectral type.

Finally, questions about the physical interpretation of the U-shaped peak-flux-density spectrum have persisted since its introduction. In the original papers,  $^{1,2}$  little attempt was made to provide an explanation for the observed association between U-bursts and proton events. Subsequently, Castelli and Guidice  $^4$  interpreted this relationship in terms of a two-stage acceleration process. In their model, flash phase electrons accelerated downward toward the solar surface (or trapped on low-lying loops) give rise to the centimeter wavelength branch of the U. The intensity of the microwave peak ( $\gtrsim$  1000 sfu in U-bursts) served as an indicator that the energy release during the impulsive phase was sufficient to produce a coronal shock wave (inferred from a Type II burst) through which the electrons accounting for the meter wavelength branch of the U and the protons observed at Earth were accelerated via a Fermi-type process. The idea of two phases of particle acceleration in flares was proposed by Wild et al  $^{15}$  and de Jager.  $^{16}$  The

References 15 and 16 will not be listed here. See References, page 50.

picture suggested by Castelli and Guidice for the relationship between the two stages is in qualitative agreement with the detailed model of Lin and Hudson. 17 However, since Cliver et al  $^{18,19}$  have shown that significant  $[J(>10 \text{ MeV}) \ge 10 \text{ pr cm}^{-2} \text{ sec}^{-1}]$  $\rm sr^{-1}l$  proton events can be associated with relatively small [Sp (~9 GHz) < 100 sfu] microwave bursts, as was also indicated by Castelli and Tarnstrom, 6 the explanation of the U-burst/proton relationship proposed by Castelli and Guidice is problematical. Nevertheless, Lin<sup>20</sup> and Svestka and Fritzova-Svestkova<sup>21</sup> have noted an association between Type II bursts and interplanetary proton events, and it would be interesting to see if large flare bursts with the U-shaped spectrum are preferentially associated with Type IIs in comparison with large non-U-bursts. Without such additional evidence for a physical link between U-bursts and proton events, the inclination is to dismiss the U-burst/proton event association as an example of the Big Flare Syndrome, 22 perhaps useful for forecasting purposes but incapable of providing insights on the problem of proton acceleration in flares. In essence, the Big Flare Syndrome states that a flare that is prominent in one energy or wavelength tends to be prominent in all, and cautions about over-interpreting associations/correlations observed in samples of big flares.

In this study we classify the peak-flux-density spectra of all large radio bursts  $[Sp\ (\ge 2\ GHz)\ge 800\ sfu]$  observed from 1965 to 1979 and compare the associations of bursts of different spectral classes with interplanetary proton events and Type II/IV bursts. In addition, we examine the nature of the low frequency branch of the U-shaped spectrum and conduct a search for necessary conditions in the radio domain for the occurrence of a significant  $\{J\ (>10\ MeV)\ge 10\ pr\ cm^{-2}\ sec^{-1}\ sr^{-1}\}$  proton event.

In the next section, we discuss our data sources, event selection criteria, and burst classification procedures and present the list of events to be analyzed. The various statistical associations are presented in the following section, and a summary and discussion of results are contained in the final section.

Lin, R. P. and Hudson, H. S. (1976) Non-thermal processes in large solar flares, Sol. Phys. 50:153.

Cliver, E. W., Kahler, S. W., Cane, H. V., Koomen, M. J., Michels,
 D. J., Howard, R. A., and Sheeley, Jr., N. R. (1983b) The GLE-associated flare of 21 August, 1979, Sol. Phys. 89:181.

<sup>19.</sup> Cliver, E. W., Kahler, S. W., and McIntosh, P. S. (1983c) Solar proton flares with weak impulsive phases, Astrophys. J. 264:699.

Lin, R. P. (1970) The emission and propagation of 40 keV solar flare electrons. I: the relationship of 40 keV electron to energetic proton and relativistic electron emission by the sun, Sol. Phys. 12:266.

<sup>21.</sup> Svestka, Z., and Fritzova-Svestkova, L. (1974) Type II radio bursts and particle acceleration, Sol. Phys. 36:417.

Kahler, S. W. (1982a) The role of the big flare syndrome in correlations of solar energetic proton fluxes and microwave burst parameters, <u>J. Geophys.</u> <u>Res.</u> 87:3439.

#### 2. RADIO AND PROTON DATA (1965-1979)

By 1965, near the start of the 20th solar cycle, radio and particle patrols of the sun were reasonably complete. Radio coverage at a range of discrete frequencies was provided principally by patrols at Gorky (USSR), Berlin - Adlershof/Tremsdorf (DDR), Pennsylvania State University (USA), and Toyokawa/Hiraiso (Japan). [Sagamore Hill (USA) began reporting in January 1966.] Solar particle events in 1965 were monitored from space (e.g., IMP 2, IMP 3, PION 6) as well as by ground-based polar riometers. <sup>23</sup> The final year considered, 1979, was the last full year for which comprehensive radio, proton, and optical flare data were available at the time we began the study.

#### 2.1 Radio Data Sources

For discrete frequency data we relied primarily on the Quarterly Bulletin of Solar Activity (QBSA) for events occurring before 1969 and Solar Geophysical Data (SGD) for subsequent years. Since the QBSA did not always list all peak-flux-density values/times when several stations reported observations at or near a given frequency, it was necessary to supplement this data source with the burst compilations from individual observatories such as Hiraiso, Toyokawa, Ondrejov, Gorky, and Slough. Also, for a few periods, data from certain observatories were not published in either QBSA or SGD and are only available in the individual observatory reports. The two prominent examples of this that we noted were for Manila (1968) and Toyokawa (1978). It is important to note that, for consistency, only tabulated data were used. Reference was not made to either published burst profiles or to the Sagamore Hill strip chart data which we have archived for the years 1966-1981.

#### 2.2 Selection Criteria

In our search for large microwave bursts occurring during this period, we used the following selection criteria:

- (a) Sp  $\geq$  800 sfu at  $f \geq 2$  GHz, and
- (b)  $85^{\circ}E \ge \phi \le 85^{\circ}W$ ,

where SP  $\equiv$  peak radio flux density, and  $\phi$  is the longitude of the associated H $\alpha$  flare. We considered frequencies  $\geq$  2 GHz since this frequency serves as a nominal divider between the decimetric wavelengths, where intense narrow band features often occur without significant associated microwave emission, and the

<sup>23.</sup> Bailey, D. K. (1964) Polar cap absorption, Planet. Space Sci. 12:495.

centimetric wavelengths, where spectral variations are typically smoother. 24 The ≥800-sfu level is roughly equivalent to Castelli and Barron's ≥ 1000-sfu level. In our initial screening of the data we selected all events for which any observatory reported a peak flux value  $\geq 800$  sfu at any frequency  $\geq 2$  GHz. We then eliminated those events for which reported flux values ≥ 800 sfu were not supported by observations at the same or adjacent frequencies, when such observations were available. The solar longitude criterion was adopted to screen out events occurring close to or beyond the limb for which the radio source may have been partially occulted. The 193 events satisfying these selection criteria are listed in Table 1. Event date, ~10-GHz maximum time, ~200-MHz maximum time, and  $H\alpha$  flare location and classification are given in columns two through six, respectively. The time of the 10-GHz maximum (200-MHz maximum) was obtained by averaging the reported times at frequencies from 8.2 to 11.8 GHz (184 to 328 MHz). For event Nos. 14, 21, 30, 75, 76, 163, and 170,  $H\alpha$  flare associations are questionable since two candidate optical events were in progress at the time of the radio burst. The listed flare is, in our opinion, the more likely source of the intense microwave emission.

#### 2.3 Constructing Spectra

Several of the events in Table 1 had more than one reported peak in their flux-density time profiles that satisfied our Sp (  $\geq$  2 GHz)  $\geq$  800 sfu selection criterion (e.g., two of the large bursts in the August 1972 sequence, Nos. 98 and 101). For such events, we constructed spectra at the time of the largest peak at the highest frequency for which observations were reported. Since secondary (late) peaks in microwave outbursts tend to have their maxima at progressively lower frequencies,  $^{25,26}$  this procedure was designed to select the initial major peak in the listed events. While this tactic did not always, in fact, identify the first reported centimeter wavelength peak  $\geq$  800 sfu (e.g., Nos. 16 and 98), it did ensure a consistent approach to the data. We considered only those lower frequency flux-density maxima that fell within a five-minute sliding window containing the highest frequency/highest flux "anchor time". No two discrete frequency maxima that were used to determine the peak-flux-density spectrum could be separated in time by more than five minutes. The five-minute

<sup>24.</sup> Kundu, M. R. (1965) Solar Radio Astronomy, Interscience Publishers, New York, New York.

Kai, K. (1968) Evolutional features of solar microwave type IV bursts, <u>Pub.</u> <u>Astron. Soc. Japan</u> 20:140.

Kahler, S. W. (1982b) Radio burst characteristics of solar proton flares, Astrophys. J. 261:710.

Table 1. Large Microwave Bursts 1965-1979: Peak-Flux-Density Spectra, and Sweep Frequency Burst and Proton Association

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	SOLAR COORDS		NISEZ/	NZ1E34 N20F18	N20W42	N28E50	N35W48	N22E04	N22W58 N22F42	N27E02	N24 W68	N24E68	N23E51	N24E39	N27E28	N28W33	N28E39	N23W44	S25W39	S15E48	N19E49	NISTED	NIOWOI	N14E35	N17W52	N17E29	S17E16	S14W19	S14M37	S14W49	S18M47	S13M57	S15W65	N16E02	NI/E40	)
200 MHZ	MAX TIMETT	0415.0	(1143.5)	(1035.0)	0234.5	1253.87	0038.6	1527.4	0556.0	1645.72	0 N		1515.4	1923.7	N.0.	0540.5	ı	1331.7	1703.4	1803.0	2130.0	1710	1/10.9	0030.0	1619.5	1	•	1525.0	0.6000	2255.5	(0846.5)	2004.7	0953.07	1057.5	1/03.0	
10 GHZ	MAX TIME1	0414.6	1111.0	0956.4	0231.7	1249.9	0037.5	1526.9	0555.8	1649.3	1716.2	0032.0	1520.4	1925.5	1947.0	0542.0	1427.6	1330.9	1700.8	1803.0	2128.2	1711	1816.6	0030.2	1620.1	0605.6	1235.5	1522.1	0011.1	2257.4	0912.4	2004.6	0.9560	1056.0	1/04.5	
	DATE (2)	651002	/11000	660320	660324	660330	, 660707	660828	660902	670227	670304	670322	670520	670521	670523	670528	670725	620859	680111	680201	680503	600000	680808	680926	680929	681021	681027	681029	681031	681031	681101	681101	681102	681227	69011/	, , , ,
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Table 1. Large Microwave Bursts 1965-1979: Peak-Flux-Density Spectra, and Sweep Frequency Burst and Proton Association (Contd)

Sweep Frequency Burst FLUX TYPE AT SP II TYPE TYPE HF0 CL ONSET IV III (17)(18) (19) (20) (21)	N 1 XX	54 H	Z	.α ××ιι	. N.O. N.O.	. , ,	2 × × × × × × × × × × × × × × × × × × ×		1 13	< 1 ×	· ~ ^ · × ×	×××
ep Freque	1 2311.5 1 0425.5 1 1404.4 1 1740.8	2 1331 2 1334 2 1331	3 - 1 2305.2 7 N.O.	1 0957 1 1648 3 -	8 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	m 64	1 1129	· ~ ~	3 0512.5	<b>-</b> ~ −	•	-
		<b>⊣</b>		2300 2100 1350		000 000 040						
HIGH FREQ OBS (16)	9000 19000 17000 19000 35000	35000 15000 70000	35000 15000 950u	35000 35000 35000			35000					
FLUX AT MAX (15)	1200 5800 3500 2900 5000		3600			2600 5200 1300			1250			
FREQ OF MAX (14)		-0-	-	12000 8000 4000 7000	_	_	5000 5500 7500		_		_	5000
AT AT MIN (13)	220 2200 550 410	1600		330 260	280		500					1050
FREQ Z OF X MIN 7 (12)	0 1400 0 2800 0 1500 0 1400 0 470	2700 0 600 0 500		0 0 0 0 0 0 0 0 0	0 3000 0 820 0 1750		0 3000		0 1200			
Peak-Flux-Density Spectra 3 10 FREQ FLUX FREQ FLUX GHZ GHZ OF AT OF AT LUX FLUX MIN MIN MAX NAX 107 (117) (127) (137) (147) (155)	_	3800000		5400 1300 1300 1300		-						3800
	0 620 0 2250 0 1100 0 900 0 1650	-	700		0 5600 0 2600 0 2600				5 220			5 1100 0 -5
500 1 MHZ GHZ LUX FLUX (8) (9)	50 300 50 6000 50 1200 500 500 15 700			20 400 00 370 70 420 -1 6	_	2 35 13 95 00 1350			•	r	660 440 900 1050	_
200 500 MHZ NHZ FLUX FLUX (7) (8)	640 850 5000013000 -3 7000 3600 1300 2500 415		950 180 1500 330 -5 220	_		-1 2 120 13 17000 3400	č	=	110 500 20 15	-	_	
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200 NHZ SOLAR TIME++ COOKDS (4) (5)	N12W32 N13W37 N13W46 N13W65 N12W80	N19E16 N19E09 N21E06 N20W70	N10654 N24W32 N08E38 S31E67	N12E63 N14E40 N14E33 N07F08	N15W19 N15W31 N18W83	S14W33 N18W06 N05E48	N13W31 N05E46 N13W37	S07E18 N18E53	N18E53 N19E42	N19E35 N20F23	NO8E55 NO9E32	N09E09 N11E74
200 NHZ MAX TIME+† C		1334.8 N 1334.8 N 1944.9 N	2007.8 N 2307.0 N (0249.0) S		- 0920.5 1933.5		1132.1 N 1530.8 N		0925.2			1846.0 N
HZ HZ		0150.0 0 1333.6 1 1944.3 1 1330.2 1					_	-				1845.1 1614.7
' '	24 231 25 091 26 042 27 140 12 174	22 133 72 65 85 85 85 85 85 85 85 85 85 85 85 85 85	21 200 21 200 26 230 36 024	05 100 18 165 19 053 0 167	23 101 24 091 27 193	28 191 11 070 11 093	01 112 01 153 20 004	26 112 13 065	13 092 14 050			
Proton A	65, 224 690225 690226 690227 690312			690605 691118 691119 691120			700301					
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Table 1. Large Microwave Bursts 1965-1979: Peak-Flux-Density Spectra, and Sweep Frequency Burst and Proton Association (Contd)

and	10MeV PR INT (22)	2 (M) 3 (M)
and Sweep Frequency Burst and	# # # # # # # # # # # # # # # # # # #	
cy B	YPE TY 1V I 20) (2	
uent		Z Z Z Z
Frec	TYPE 11 0NSE	- 0112 1030 2238 1125.6 8.0. 8.0. 1518.4 0549 - 0036 8.03 1117.7 1037.3 1117.7 1451 - 1451 - 1518.8 1451 1451 - 1518.8 1201 1201 1911 1911
dee	TLUX AT SP HF0 CL 17)(18	11330 3 3 1400 3 3 1400 3 3 1400 3 3 1400 3 3 1400 3 3 1400 1 140
Ng pu	"	
<b>7</b>		
e ctr	A FLUX AT X MAX 5 (15)	6000 1250 8000 7200 10000 1100 7500 9200 8000 1300 11000 4500 12000 1300 12000 1300 12000 1300 1900025000 1900025000 13000 950 2700 1700 8000 1000 8000 1000
ty Sp	FREQ OF MAX	
ensi	FLUX AT MIN (13)	100 300 1155 1640 310 320 320 320 1100 42 4500 540 1200 3900 1200 3900 1200 1200 1200 1200 1200 1200 1200 1
- γα - γα	PREU OF MIN	1400 1000 11400 1500 1700 1700 1700 1700 1700 1700 17
Peak-Flux-Density Spectra	a g SE	1100 6800 1400 1800 1100 1100 1200 1200 1200 1200 12
Реа	GHZ TIOX	690 350 350 350 350 310 310 310 310 310 500 500 500 500 500 500 500 500 500 5
979:	- 545 - 65 - 65 - 65 - 65 - 65 - 65 - 65 - 6	00 140 140 140 140 140 140 140 140
65-1	500 FLUX (8)	1000 1000
Bursts 1965-197	200 FLUX 3	11000 1200 1200 1300 1300 1300 1300 1300 1300 1300 1300 1200
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60.	SOLAR COORDS (5)	NIEWII NIEWZI NIOE36 NIOE36 NIOE36 NIOE36 NIOE36 NIOE36 NIOE36 NIOE36 NIOE53 NI
e Microwave	[注 ]	_
Mic Hion	200 XAT (4)	- 1030.8 2315.0 (0926.8) 2320.0 0941.0 (0926.8) 2320.0 0941.0 (1526.7) (0559.5) 0941.0 (1400.0) 1118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.6 (1400.0) 118.0 (1400.0)
	10 GHZ MAX TIME†	0753.5 0055.8 0055.8 1028.4 1122.0 1122.8 00543.9 00543.9 00553.8 1070.2 1116.2 1116.2 1116.2 1116.2 1116.2 1116.2 1116.2 1116.3 116.3 116.3 116.3 116.3 116.3 116.
1. I Ass	ll ı	
Table 1	DATE (2)	701115 701116 7011116 701211 701211 710116 710124 710124 710123 710124 710126 710202 711123 711123 720202 720202 720202 720202 720306 7
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Table 1. Large Microwave Bursts 1965-1979: Peak-Flux-Density Spectra, and Sweep Frequency Burst and Proton Association (Contd)

> 10Me v PR INT (22)	1(H) 0(M) 1	(E)	<u> </u>		-1 -2(M)	ı ~ c	7.	(N)0	<b>-</b> 7	0 7	-2(A) -1	1 (2)	(E) - (E)	0(m)	2(M)	Z(N)	2°	E (E)	(¥) -5(¥) 0(¥) 0(¥)
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1YPE 1V (20)	×ı×	×××	ı××	××	××	ı ××	< × >	< × :	××	××	ı×	•	×	ı×	× ×	<b>×</b>	× ×	< × >	×××
TYPE 11 ONSET (19)	- 1359.3	_ 2147.5		2136.2 2232.8	0335(k) -	1536.5	1921	1638	2233.2 1038.5	0153	0712.5	1055	1358.8	1320.5	1908	1943	0327.5		0622.5 0€?8.4
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A PER	4400 900 8500	2000 2000 1800	540 660 1750	3000	220	2108	386	3600	80 2450	3900	1100	850	888	₹ ₹	1100	320	870	125	44 004 70 70
FREG OBS (16)	9500 35000 70000	35000 35000 35000	70000 70000 35000	35000 9500	35000	35000	35000	35000	35000 15000	35000 9500	35000 9000	15000	32000	32000	35000	15000	35000	11000	35000
AT MAX (15)		1600 2300 4100	1700 1200	9500 3300	1600	90	3500	6200		1500	2300	900	888	7200	4500		3400 920	1700	2700
FREQ OF MAX (14)		10000 22000 12000	20000 35000	10000 7000	8000	9000	8000	15000		10000	7000	9000	20000	10000	9000	3		2800	5500
FLUX AT NIN (13)	096	330 180 1350	76 140 130	1500 620	370 200 3	785	540	32	400	340 340 40	88	105	282	4000	1300	;	250	<b>5</b> 00	4
FREQ OF MIN (12)	2200	3000 550 1000	540 560 1400	1000	1000	2800	1500	200	3000	2 2 2 2 3 3 3 3	2200	2800	1200	2000	2000		1600	920	900
10 GHZ FLUX (11)	4700 5 3700	1600 1650 <b>4</b> 000	1000 560 1400	9500 2900	1900 1400 9	380	3400	2500	5500	1500 4000	2100 1300	360	28.	7200	1600	096	900	170	1500
6HZ (10)	1500 -1 1600	330 1800 1800	240 360 360	-3	420 450	94	2200	25-	4 6 6 6	500 1450	1300 65	115	320	-3	1450	5-2	8 5 5 5	99	1700
GHZ (9)	3500 -1 -5	3500 400 1350	220 350 250	1500 1320	370 620	3802	1200	330	1000	1700 470	115 76	400	<b>1</b>	900	2000	5.	720	270	1400
500 MHZ (8)	9600 -1 -3	5000 180 4000	80 220 1000	9500 760	320 160	2300	200	325	-2	320	919	850	1250	0000	5000	5	1200 -5	86.5	8 9 9 8 9 8
200 FLUX	14000 100 -3	-5 1100 20000	30000 30000 300	2500 -3	800.	1100 5000		150	- 170	3800 850	500 600	4500	200	30001	8000	5	11000	350	-5 1500
ಕೆ ರಹಿ	18 -8 28	<u> </u>	<b>9</b> 9 9	2B 2N	<b>3</b> 99	9 2 2	18	1 1 2	38 38	1B 2B	1F 2B	<b>18</b>	38.	38	2B 3B	7. 7. 7. 8.	¥ .	28 28	3 8 N
SOLAR COORDS (5)	\$14W05 \$15W06 \$16W08	\$16W12 \$15W23 \$15W26	\$15W31 \$16W35 \$16W39	N10E61 N09W62	N12E02 N12W03	S12W78	S07E28	NOBE84	NO8 W57	NO6 MO2 N24 W40	\$16W65 \$12W85	N14E06	N22W56	N22E38	N20E14 N28E14	N21W12	N23W/2 N22W76	N17W15	N18E61 N12E81
200 HHZ MAX TIMETT (4)	0648.5 1120.0 1353.0	(2109.8) 1510.5 2142.7	1036.6 1110.9 1903.6	2143.4 2238.5	0331.57 1446.6	1535.2	(1932.4)	1633.8	1040.3	0151.6 1005.9	0636.0 0711.8	1427.4	1354.2	1332.5	1913.8	(1947.0)	0330.4	1537.8	(0648.0) 0429.2
10 GHZ MAX TINET (3)	0648.1 1115.1 1354.6	2058.8 1511.0 2139.5	1037.1 1111.5 1904.7	2140.6 2239.5	0329.3	1525.4 1535.4	1934.2	1634.8	2308.0 1036.6	0151.4 1003.3	0638.2 0714.2	1427.3	1354.3	1329.0	1914.5	1953.5	1231.8	1541.37	0642.9
DATE (2)	740704 740704 740704	740704 740705 740705	740706 740706 740706	740910 740919	741011	741105 750821	760328	770909	770916 770919	771012 771122	780107	780211	780411	780428	780429	780501	780507	780626	780710 780711
,	117 118 119	120 121 122	123 124 125	126 127	821	131	133	135	136	138 139	140 141	142	144	146	147	149	150	152	154 155

Table 1. Large Microwave Bursts 1965-1979; Peak-Flux-Density Spectra, and Sweep Frequency Burst and Proton Association (Contd)

>10MeV PR INT (22)	1	-1 -1(%)
	N NN N INIXXXXXXXXXXIXIXXIXXXXXXXXXXXXX	××
17 PE		××
TYPE 11 ONSET (19)	1051.3 1051.3 1051.3 10230.5 1049.5 1027 1	2213 0617.5
# 3 d ( <del>2</del> €		
ATE ATE	890 800 800 800 930 17 17 950 950 950 950 950 950 950 950	1600 200
HIGH FREQ OBS (16)	35000 35000	15000 35000
AT MAX (15)	11100 2900 1700 1700 1900 1900 1900 1900 1900 1	1050
FREU OF MAX (14)	15000 15000 22000 2700 2700 10000 10000 6000 6000 6000 6000 6000	11000 1050
AT AT (13)	2900 90 90 90 1135 1135 1200 9100 920 920 920 920 920 920	600 190
FREQ POF	20000 0 2000000000000000000000000000000	3500 2500
10 GHZ FLUX		1450 1000
682 (10)	25 3600 640 640 640 640 640 640 640	600 200
GHZ GHZ (9)	3200 3200 3200 3200 135 1135 1135 1100 1100 1100 1100 110	1100 310
500 MHZ (8)	7,105 7,105 7,105 7,000 7,	9200
200 FLUX	11000 1 2	
್ ಪ್ರಕ್ರ	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	2B 1N
SOL AR COORDS (5)	NI 6 6 4 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	S15E36 S17E32
200 MHZ MAX TIME++	- 1053.5 - 1601.3 1424.9 - 2012.2 - 2012.2 - 0643.6 (2125.8) 0150.5 1641.8 1023.9 0417.0 0645.7 1444.2 10533.6 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.2 1124.3 1124.5 1124.5	2215.3 0618.7
10 GHZ MAX TIME†	0543.3 10523.3 2230.7 1605.7 1425.2 20184.2 20184.2 0640.7 2151.2 0640.7 1025.0 0417.8 0640.8 10446.8	2213.2 0617.4
DATE (2)	780711 780711 780711 780712 780712 780713 781213 781213 781213 781214 790205 790205 790205 790205 790403 790814 790826 790814 790826 790916 790916 790916 790916 790916 790916 790916 790916 790917 790917 790917 790917 790917 790917 790917 790917 790917 790917 790917 790917 790917 790917 790917 790917 790917 790917 791109	
	156 157 158 159 160 160 161 165 165 167 170 171 171 172 173 174 178 178 178 178 178 178 178 178 178 178	192

MANUAL RECORDS MANUAL BOOKERS NAMED

Table 1. Large Microwave Bursts 1965-1979: Peak-Flux-Density Spectra, and Sweep Frequency Burst and Proton Association (Contd)

## Notes:

Notation used in this column is as follows: "?" ≡ time uncertain, and "()" ≡ the listed time is for the 3 GHz maximum Notation used in this column is as follows: "?" = time listed as uncertain in SGD or QBSA, or time inferred from time of maximum at an adjacent frequency; "-" = a station was observing at this frequency but did not report an event; "N. O." = no observatory was on patrol at 200 MHz; and "()" = the listed time occurred outside the sliding five-minute window \* The following events require additional frequency - peak-flux-density pairs to describe their spectrum in the 200 MHz to 10 GHz range: No. 4 (1.5 GHz, 920 sfu), No. 22 (1.4 GHz, 640 sfu), No. 26 (2.0 GHz, 1200 sfu), No. 28 (1.4 GHz, 3 sfu), No. 37 (400 MHz, 1100 sfu), No. 67 (400 MHz, 800 sfu), No. 71 (1.4 GHz, 3200 sfu), No. 76 (1.4 GHz, 2400 sfu), No. 85 (600 MHz, 1300 sfu), No. 86 (650 MHz, 17 sfu), No. 112 (5.0 GHz, 620 sfu), No. 122 (1.4 GHz, 3300 sfu), No. 128 (600 MHz, 560 sfu), No. 129 (400 MHz, 2600 sfu), No. 145 (5.0 GHz, 25 sfu), No. 158 (1.4 GHz, 76 sfu), No. 171 (650 MHz, 1150 sfu), No. 174 (1.4 GHz, 1600 sfu), and No. 176 (400 MHz, 1300 sfu).

width of the time window was arbitrarily chosen, and, while it may still be too large to provide physically meaningful spectra, it is an improvement on the relatively open-ended approach of Castelli and Barron. <sup>5</sup> In practice, as we shall show, large microwave bursts often have their maxima at frequencies across the spectrum occurring within 1 to 2 min.

The reported peak-flux-densities in the five-minute window were plotted as a function of frequency on log-log graph paper (Figures 1 through 5 and 7 through 9). We considered only frequencies ≥ 200 MHz with the exception of Boulder (184 MHz). Generally the highest observed/reported frequencies were in the 10- to 20-GHz range, although observations at 35 GHz (Sagamore Hill and Nagoya) and beyond (Slough) were available occasionally. Visual fits were made through the plotted points for each event. At frequencies > 2 GHz, it was relatively easy to construct a consensus peak-flux-density spectrum from the plotted points owing both to the smoother spectral and temporal variations at these frequencies and also to the reasonably good (10- to 20-percent variations)<sup>22,27</sup> inter-calibration of the worldwide patrol. Below 2 GHz, and especially near 200 MHz, the situation becomes more difficult. The narrow band features in the decimeter range present a particular problem since one cannot be sure whether an apparent pronounced spectral variation is real or the result of an erroneous report by a single observatory. The procedure we eventually adopted at decimeter wavelengths was close to a "connect the dots" approach, smoothing out minor variations that could be due to calibration differences but following exactly large variations that we had no reason to doubt. Examples of events with relatively narrow band decimetric features in their spectra are given in Figures 3(b), 3(c), 4(b), 4(c), 7(b), 9(b), and  $\theta(c)$ . At  $f \sim 200$  MHz peak-flux-densities reported by different stations observing at closely spaced frequencies can vary by a factor of 2 to 5 or more [Figures 2(a), 4(b), 4(d), 5(a)-5(d), and 7(a)]. It seems doubtful that variations of this size could be due to faulty calibration since the difference would also appear in the daily measurement of the quiet-sun-flux. Rapid spectral variations in the burst emission at these lower frequencies may play a role, although, for certain cases (e.g., Nos. 23, 95, 155), large discrepancies were noted in the reported peak-flux-densities of observatories monitoring the ame nominal frequencies. We suggest that the significant differences often observed near 200 MHz result from the effects of different time constants on bursts with fast time structure or from non-linear receiver response for large events. Since both of these effects will tend to reduce observed peak-flux-densities (assuming one does not

Tanaka, H., Castelli, J. P., Covington, A. E., Kruger, A., Landecker, T. L., and Tlamicha, A. (1973) Absolute calibration of solar radio flux density in the microwave region, Sol. Phys. 29:243.

over-correct for non-linearity), we favored the higher reported values in events with widely divergent peak-flux-densities at 200 MHz. This decision affected the spectral classifications of 12 events (Nos. 9, 19, 22, 32, 43, 50, 60, 90, 110, 111, 121, and 139) in Table 1.

While observatories may report the times/peak-flux-densities of several maxima at a given frequency in a complex burst, this practice is by no means standard and often only the largest peak is reported. This is a particular problem at the lower (< 1 GHz) frequencies where the largest peak may not occur until late in the event. For certain events with insufficient spectral data at the anchor time, however, it was possible to infer the spectral shape by using peak fluxes reported later (or earlier) in the event as upper limits (Nos. 13, 29, 44, 78, 80, 82, 100, 135, 183, and 186). Also for two cases where a peak 200-MHz flux was reported without a corresponding time (Nos. 6 and 11), we were able to classify the microwave spectrum by assuming that the 200-MHz peak time was the same as that of the peak at the next highest frequency reported (~ 600 MHz in both cases).

For each event in Table 1, we have included a sufficient number of frequency/ peak-flux-density pairs to allow one to recreate the spectral curves that we obtained by fitting the tabulated data. In columns 7 through 11, the peak-flux-densities of our constructed spectra at f = 200 MHz, 500 MHz, 1 GHz, 3 GHz, and 10 GHz are listed. In columns 12 through 17, frequency/flux-density pairs for the spectral minimum, maximum, and highest frequency reported are listed. If the highest frequency for which observations were reported is less than 10 GHz, the value in column 11 was obtained by extrapolation. For all but 19 cases, indicated by an asterisk in column 17, the information in columns 7 through 17 is sufficient to reconstruct the peak-flux-density spectrum with reasonable accuracy. For the 19 events requiring further data, an additional frequency/peak-flux-density pair is given following the table. The additional data points were needed primarily to describe rapid spectral variations in the decimetric range (300 MHz to ~ 2 GHz). Certain of the events exhibited apparent spectral minima at  $f \ge 10$  GHz [e.g., No. 45, Figure 4(a)]; these higher frequency variations are not covered by the data in Table 1. The negative numbers appearing in place of peak-flux-density values in columns 7 through 11 are defined as follows:

- -1 ≡ a station is observing at this frequency but does not report an event,
- -2 = no station is observing,
- -3 ≡ uncertain value but > 100 sfu,
- -4 = uncertain value but < 100 sfu,
- -5 = uncertain value.

#### 2.4 Spectral Classes

Despite the occasional complexity that may present itself in the peak-flux-density spectrum of any given event, we found that we were able to classify the spectra of the events in Table 1 into two basic groups and an intermediate type. The dominant spectral type was the U-shape, designated by a 1 in column 18, that comprised 59 percent (113/193) of the sample. For a peak-flux-density spectrum to be classified as U-shaped, we required:

- (a) a spectral maximum  $\geq$  800 sfu at some frequency  $f \geq$  2 GHz,
- (b) a second maximum  $\geq$  800 sfu at some frequency ( $\geq$  200 MHz) below that of (a), and
- (c) a spectral minimum at some frequency between that of the maxima in (a) and (b) (but < 10 GHz) with a flux-density value significantly (≥ 40 percent) below those of (a) and (b).

The condition that the minimum occur at f < 10 GHz excludes event No.  $99^{28}$  that has its only minimum at  $f \sim 15$  GHz. This is consistent with the specification by Castelli and Barron<sup>5</sup> that the spectral minimum occur in the decimetric range. Event No. 170 had the highest frequency spectral minimum (5 GHz) of the 113 events that satisfied these criteria. Event Nos. 61, 63, 134, and 187 only marginally met the  $\geq 40$  percent minimum criterion and are lower-confidence U-bursts.

The above definition allows a variety of spectra to be classified as U-shaped. A number of examples of this spectral type are shown in Figures 1 through 5. Figures 1 and 2 contain examples of the classic U-burst spectrum, with the low frequency flux-density maximum occurring from  $\sim 200$  to 500 MHz. Approximately 75 percent of the U-bursts in our sample had this type of spectrum;  $\sim 20$  percent had their lower frequency maximum in the range from > 500 MHz to 2 GHz. The spectrum in Figure 1(c) has emission maxima in both of these wavelength ranges. Figures 3(a), 3(c), 3(d), and 4(a)-4(d) give seven of the fifteen cases of U-bursts that had their low frequency peak at  $f \gtrsim 1$  GHz. The events in Figure 3 were on the list of Castelli and Barron while those in Figure 4 were not. Figure 5 contains four of the twelve events in our sample that were classified as U-bursts because of our decision to favor high flux values at 200 MHz. Event No. 32 [Figure 5(b)] was also on CB's list.

At this point it is of interest to compare our list of events with U-shaped spectra to that of Castelli and Barron for the period in common from 1966 to 1976. Of the 85 previously identified U-bursts during this period (81 from CB and four

<sup>28.</sup> Zirin, H., and Tanaka, K. (1973) The flares of August 1972, Sol. Phys. 32:173.

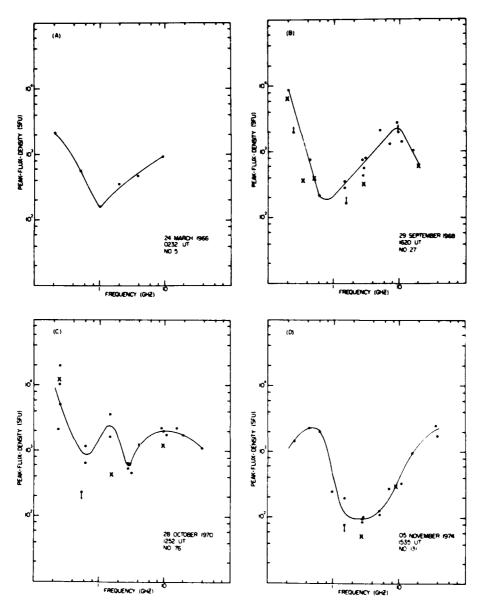


Figure 1. Examples of the Classic U-Shaped Spectrum, With the Low Frequency Maximum Occurring Near 200 MHz. The event on 28 October 1970 (c) had an additional maximum in the decimetric range. Each of these events was on Castelli and Barron's  $^5$  list of U-bursts. In Figures 1 through 5 and 7 through 9, Xs indicate doubtful or uncertain flux density values and downward (upward) pointing arrows indicate upper (lower) limits. Uncertainties in measured peak fluxes at frequencies > 2 GHz are typically  $< \pm 20$  percent. Differences in reported values at  $f \lesssim 2$  GHz may be substantially larger (factors of 2 to 10) as can be seen from these figures. Note that the origin of the y-axis of the plots in Figures 1 through 5 is at 10 sfu vs 1 sfu in Figures 7 through 9

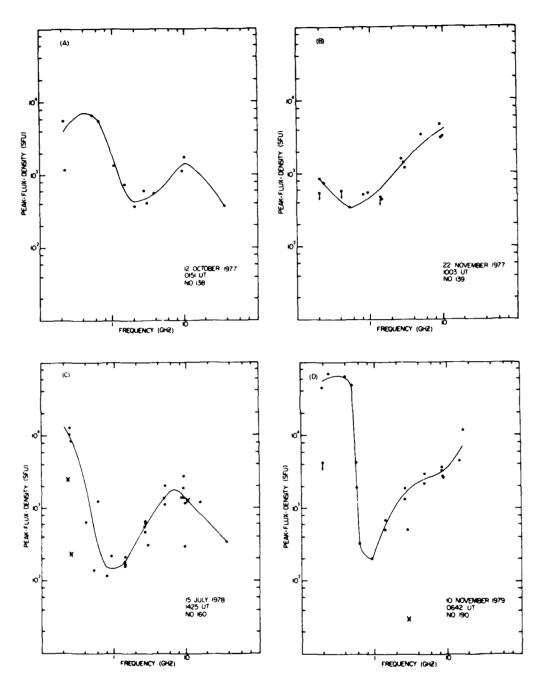


Figure 2. Examples of the Classic U-Shaped Spectrum With the Low Frequency Maximum Occurring Near 200 MHz. These events occurred after the period examined by Castelli and Barron. <sup>5</sup> The U-burst on 22 November 1977 (b) was one of 12 events in Table 1 whose peak-flux-density classification was affected by our decision to favor higher reported flux values at f  $\sim$  200 MHz

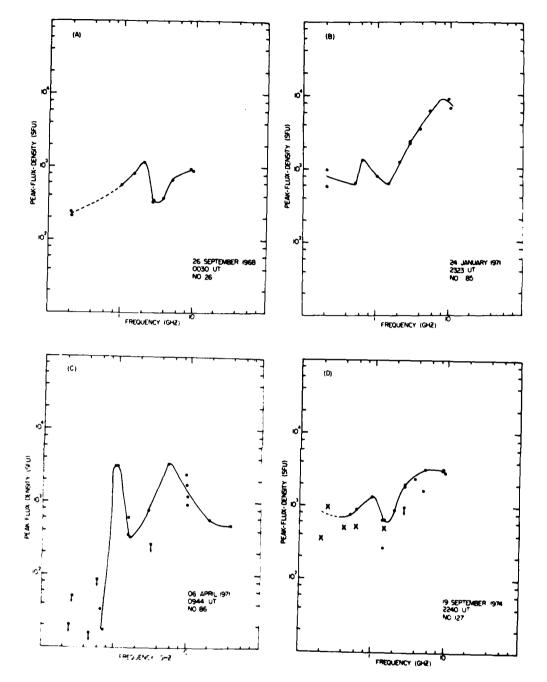


Figure 3. Examples of U-Shaped Peak-Flux-Density Spectra That Had Their Lower Frequency Maximum in the Decimetric Range. Each of these events was on Castelli and Barron's 5 U-burst list

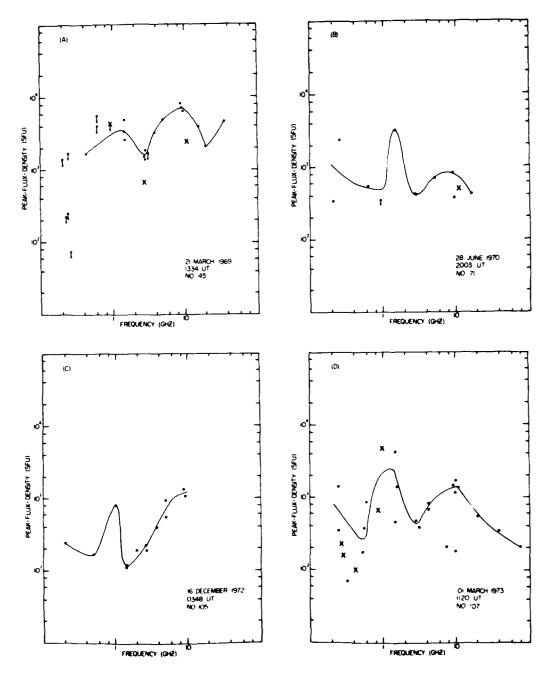


Figure 4. Examples of U-Shaped Peak-Flux-Density Spectra That Had Their Lower Frequency Maximum in the Decimetric Range. These events were not on Castelli and Barron's Slist

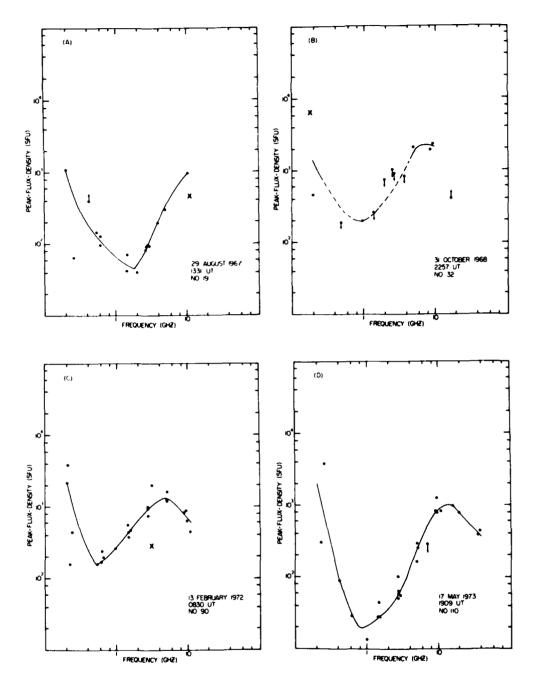


Figure 5. Four of the Ten Events in Table 1 That Were Classified as U-Bursts Because of Our Decision to Favor High Flux Values at 200 MHz. The event on 31 October 1968 (b) was also classified as a U-burst by Castelli and Barron<sup>5</sup>

added by Castelli and Tarnstrom, 6 11 occurred either at or behind the solar limb  $(\phi > 85^{\circ})$  and were not considered for our list. For event No. 10 (02 November 1967, 0856 UT) on the CB list, no observatory on patrol reported an event with Sp ≥ 800 sfu [Gorky, Sp (9.4 GHz) > 520 sfu]. For 14 other events on CB's list (several of which were discussed in the introduction), we were either unable to classify the peak-flux-density spectrum because of insufficient data in the fiveminute window (nine cases) or arrived at a different classification (five cases). Thus there were 59 events in the intersection of our U-burst data sets for the common years of these studies. In addition, we identified 25 events during this period, not included on the U-burst list compiled by CB and Castelli and Tarnstrom, that satisfied the U-shaped spectral criteria we adopted. We point out that 13 of these 25 events (Nos. 3, 4, 19, 49, 50, 63, 66, 67, 71, 75, 105, 110, and 111) would not have been classified as U-bursts if spectral maxima ≥ 1000 sfu (vs ≥ 800 sfu) in the meter/decimeter and centimeter wavelength ranges had been required. This would account for their absence from the CB list. (By the same standard, event Nos. 5, 7, and 26 in Table 1 might be excluded from the CB list.) The 12 events that appear to satisfy their criteria and are missing from their list are Nos. 6, 12, 37, 45, 61, 83, 90, 94, 97, 107, 112, and 133.

Figure 6 is a histogram showing the timing of the flux-density peak at 200 MHz relative to that of the 10-GHz peak for the U-bursts in Table 1. Only cases where reported maxima at both of these frequencies fell within the five-minute

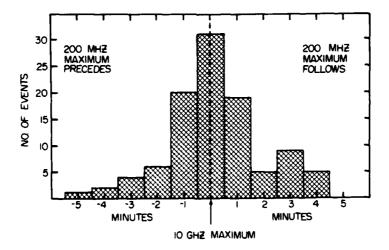


Figure 6. The Timing of the Maximum  $\sim 200\text{-MHz}$  Emission for the U-Bursts in Table 1 Relative to the Timing of the  $\sim 10\text{-GHz}$  Maximum. For  $\sim 70$  percent (70/102) of the cases the peaks at these widely separated frequencies occur within  $\pm 1.5$  min of each other. The data are taken from columns 3 and 4 in Table 1

sliding window were considered. The histogram shows that intensity maxima at these widely spaced frequencies often occur quite close in time, within  $\pm$  1.5 min for  $\sim$  70 percent (70/102) of the cases.

For 52 of the 165 events in Table 1 for which we were able to determine spectra, a  $\geq 800$ -sfu maximum at  $f \geq 2$  GHz was not accompanied by a maximum with Sp  $\geq 800$  sfu at a lower frequency. In many cases the high frequency emission was apparently unaccompanied by any emission at lower frequencies and emission would appear to taper smoothly down from the centimeter wavelength maximum and cut off at frequencies  $\gtrsim 1$  GHz. In other cases the spectrum was U-shaped but the lower frequency maximum did not have Sp  $\geq 800$  sfu. Still in a few other cases the spectrum below the centimeter wavelength peak neither cut off completely nor turned back up, but remained relatively flat at a given flux density level. To distinguish between these various types of events we adopted the following classification scheme. We classified as having intermediate peak-flux-density spectra those events for which:

- (a) a spectral maximum  $\geq$  800 sfu occurred at  $f \geq 2$  GHz,
- (b) no significant (Sp  $\geq$  800 sfu) spectral maximum occurred at a frequency lower than that of (a) (down to 200 MHz), and
- (c) Sp  $(200 \text{ MHz}) \ge 100 \text{ sfu}$ .

This set of criteria distinguishes these events from those having cutoff or quasicutoff spectra for which criteria (a) and (b) also apply, but for which criterion (c) becomes: Sp (200 MHz) < 100 sfu. Thus microwave bursts of the intermediate spectral class, designated by a 2 in column 18, have peak 200-MHz emission between that of U-bursts and cutoff events (3 in column 18). We point out, however, that the occurrence of a decimeter wavelength peak with Sp  $\geq$  800 sfu automatically qualified an event as a U-burst in our classification scheme (assuming it met the other stated criteria), regardless of the peak-flux-density of any reported 200-MHz burst.

While for many of the events having cutoff spectra, emission appeared to be cut off well above 200 MHz, we know from experience that, because of the relatively high level of activity at the lower frequencies, many and perhaps a majority of the smaller events (Sp < 100 sfu) at 200 MHz go unreported. Thus the cutoff events are not necessarily those for which no low frequency emission was observed, but rather are events for which the peak 200-MHz emission was significantly down (a factor of eight or more) from its centimeter wavelength maximum. In all cases where no event was reported near 200 MHz (184 to 328 MHz),

Roelof, E. C., Dodson, H. W., and Hedeman, E. R. (1983) Dependence of radio emission in large Ho flares 1967 - 1970 upon the orientation of the local solar magnetic field, Sol. Phys. 85:339.

we checked the published patrol times to see if a station (e.g., Hiraiso, Gorky, Sagamore Hill) was, in fact, observing in this frequency range. If a station was observing and did not report an event, we assumed that Sp ( $\sim 200$  MHz) < 100 sfu. No station was observing near 200 MHz for event No. 165, and we could not classify its spectrum by our method.

Eighteen of the 193 events in our data set (nine percent) had intermediate peak-flux-density spectra and 34 (18 percent) had cutoff spectra. Examples of intermediate spectra are shown in Figure 7 and examples of cutoff spectra are given in Figures 8 and 9. Examples of intermediate and cutoff spectra with decimetric peaks are shown in Figure 7(b), and Figures 9(b) and 9(c), respectively.

We were unable to classify the peak-flux-density spectra of 28 (15 percent) of the events in our data sample (? in column 18). The most common reason (20 cases) for our inability to construct a meaningful spectrum was the lack of data points, particularly at low frequencies, within the five-minute sliding window. For five other events (Nos. 1, 20, 21, 128, and 172), burst maxima within the five-minute window were reported across the spectrum, but the peak-flux-density values at ~ 200 MHz, on which our classification system hinges, were uncertain and were < 800 sfu. [Because we favored high reported flux values at lower frequencies, we classified four events (Nos. 11, 32, 92, and 169) with doubtful ~ 200-MHz flux values > 800 sfu as U-bursts.] For two cases (Nos. 119 and 136), unresolvable discrepancies in reported flux values at one or more frequencies made it impossible to assign a classification. Note that criterion (a), requiring a spectral maximum  $\geq 800$  sfu at  $f \geq 2$  GHz, is the same for all three spectral classes. Only one event in Table 1 did not satisfy this requirement and fell into the unclassified category. Event No. 30 had a single spectral maximum at 606 MHz of 260,000 sfu; emission declined to a value of 220 sfu at 19 GHz, the highest frequency at which observations were reported.

#### 2.5 Associated Sweep Frequency Meter Wavelength Events

The starting times of meter wavelength Type II sweep frequency bursts, associated with the large microwave bursts under consideration, are given in column 19 of Table 1, and the occurrence of an associated Type IV burst is indicated by an X in column 20. To determine the Type II onset (and end) times that are used in the analyses in the next section, we preferentially used the meter wavelength times reported by Ft. Davis, Culgoora or Weissenau. If two of these stations reported an event, we averaged the reported times. However, if one of these three stations was on patrol and did not report a Type II burst and another station (e.g., Durnten or Sagamore Hill) did, we considered the Type II report to be valid. Also, if no meter wavelength Type II or IV was observed but an event

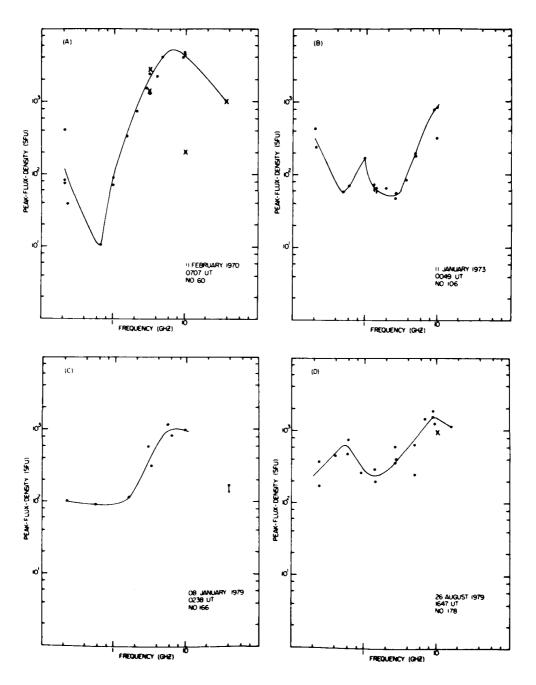


Figure 7. Examples of Microwave Bursts With What We Have Termed "Intermediate" Peak-Flux-Density Spectra. The classification of the event on 11 February 1970 (a) was affected by our decision to favor the higher reported flux values near 200 MHz. The spectrum of the event on 11 January 1973 (b) has a decimetric component, while that of 08 January 1979 (c) is relatively flat in the meter and decimeter range

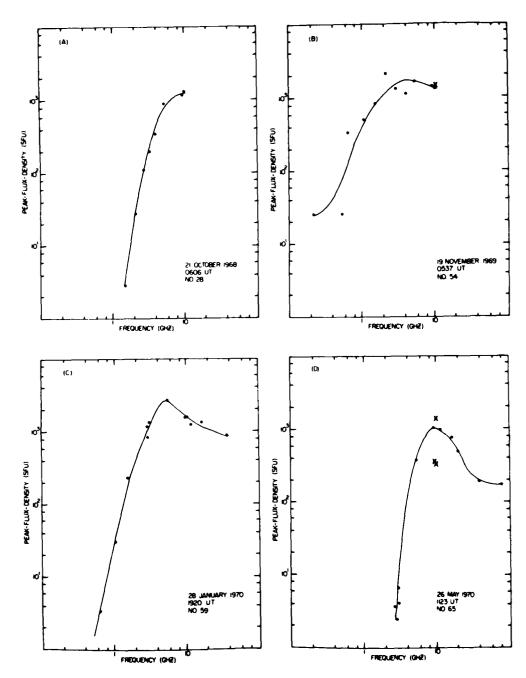


Figure 8. Examples of Large Microwave Bursts With Cutoff or Quasi-Cutoff Spectra

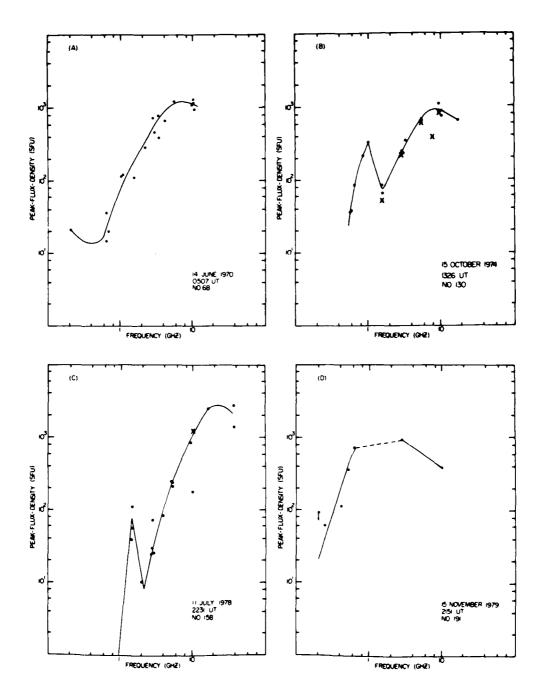


Figure 9. Examples of Large Microwave Bursts With Cutoff or Quasi-Cutoff Spectra. The events on 15 October 1974 (b) and 11 July 1978 (c) exhibited a decimetric component in their spectra

was reported at decimeter (c) or decameter (k) wavelengths, we have indicated so by appending a c or a k to the entries in columns 19 and 20 as appropriate. For the Type IV associations, we did not consider events for which continuum (but not Type IV) was reported.

Meter wavelength Type III associations are indicated in column 21 by an X (with c and k appended as in columns 19 and 20). We considered a Type III event to be associated with a listed microwave burst if Type III emission, reported by any observatory, occurred within  $\pm$  10 min of the listed time of the 10-GHz emission maximum. We considered long duration (S or N) Type III activity to be associated only if it began within  $\pm$  15 min of the 10-GHz maximum. The s descriptor (for "simultaneous") was used when the Type III duration encompassed the time of the 200-MHz maximum, ended  $\leq$  0.5 min prior to the 200-MHz maximum, or began  $\leq$  0.5 min after it. Of course, as Svestka and Fritzova-Svestkova point out, it is impossible to tell if Type III emission and the 200-MHz maximum are exactly coincident, without examining the sweep frequency records, for the typical case for these large bursts of a Type III series lasting for several minutes and composed of tens of individual bursts.

We used <u>QBSA</u> and <u>SGD</u> as sources for the sweep frequency data. In columns 19 through 21, N.O. (no observations) indicates events for which sweep frequency data were not available.

# 2.6 Proton Data

For the proton associations for the events in Table 1, we used the Catalog of Solar Particle Events, 1955-1969, 30 reports by Dodson et al, 31,32 and the published list of van Hollebeke et al 30 for the years 1965 through 1972. We made the associations ourselves for the subsequent years. In column 21, we have listed the characteristic of the logarithm of the peak prompt (i.e., non-sudden commencement associated) >10-MeV proton flux [J (> 10 MeV) in pr cm  $^{-2}$  sec  $^{-1}$  sr  $^{-1}$ ] for each event with proton association. We only considered increases for which

Svestka, Z., and Simon, P., Eds. (1975) <u>Catalog of Solar Particle Events</u>, 1955-1969, D. Reidel Pub. Co., Dordrecht, Holland.

<sup>31.</sup> Dodson, H. W., Hedeman, E. R., and Mohler, O. C. (1977) <u>Survey and Comparison of Solar Activity and Energetic Particle Emission in 1970</u>, <u>AFGL-TR-77-0222</u>, AD A048479.

Dodson, H. W., Hedeman, E. R., and Mohler, O. C. (1978) Solar and Geophysical Associations With the Principal Energetic Particle Events in 1971 and 1972, AFGL-TR-78-0266, AD A065260.

van Hollebeke, M. A. I., Ma Sung, L. S., and McDonald, F. B. (1975) The variation of solar proton energy spectra and size distribution with heliolongitude, Sol. Phys. 41:189.

the logarithm of the peak near-Earth > 10-MeV flux had a characteristic  $\geq$  -2. Somewhat smaller increases, with log (J) < -2, can be observed by existing satellite sensors, but fluctuations at this level are common, and it is difficult to confidently associate these small increases with flares. <sup>26,33</sup> For the period from January 1965 to May 1967, we relied on the proton event classification of Smart and Shea <sup>34</sup> as used in Svestka and Simon <sup>30</sup> to determine the logarithm of J (> 10 MeV). For the period from May 1967 to May 1973, we were able to make this determination directly from the > 10-MeV data acquired by the Johns Hopkins University/Applied Physics Laboratory (JHU/APL) experiments aboard IMP F, G, and I and published in SGD. For the years 1973 to 1979, we worked with the 20- to 40-MeV data collected by the JHU/APL sensors aboard IMP H and J. For this differential channel, a peak flux of  $\geq$  10 <sup>-4</sup> pr cm <sup>-2</sup> sec <sup>-1</sup> sr <sup>-1</sup> MeV <sup>-1</sup> corresponds to a peak > 10-MeV flux of J  $\geq$  10 <sup>-2</sup> pr cm <sup>-2</sup> sec <sup>-1</sup> sr <sup>-1</sup> if one assumes a spectral slope of -3. <sup>33</sup> In all cases we subtracted the background due to earlier events when determining log (J).

Since: (1) prominent flares from complex active regions tend to be closely grouped in time (e.g., the August 1972 region where four major flares occurred in a five and one-half day period), (2) big flares tend to produce big proton events, and (3) large proton events have durations ranging from tens of hours to days, it is not surprising that many of the events in Table 1 occurred when a proton event, perhaps associated with an earlier listed event, was already in progress. In some of these cases a fresh injection of protons can be seen above the enhanced background. In other cases no new injection of protons is evident. In these latter cases, we have indicated that a possible event was masked by putting an M in column 21. The number in parentheses following the M is the characteristic of the logarithm of the enhanced > 10-MeV flux at the time of the listed microwave event. For several events in Table 1, an apparently associated proton event may have, in fact, been caused by another flare (or flares) occurring closely in time. (Or, alternatively, several flares may have contributed to the peak proton flux.) This is a particular problem for proton events originating in eastern hemisphere activity, since these particle events tend to have longer rise times.  $^{35}$  In column 21, we have denoted these "ambiguous" flare proton event associations with an A. The number in parentheses following the A is the characteristic of the logarithm of the peak prompt > 10-MeV flux. It is important to note that not all parent-flare

Smart, D. F., and Shea, M. A. (1971) Solar proton event classification system, <u>Sol. Phys.</u> 16:484.

<sup>35.</sup> Reinhard, R., and Wibberenz, G. (1974) Propagation of flare protons in the solar atmosphere, Sol. Phys. 36:473.

candidates that might have produced a given A event are necessarily listed in Table 1, but only those that met our selection criteria.

We note that for the period May 1967 to May 1973, Svestka and Simon<sup>30</sup> and Dodson et al<sup>31,32</sup> listed several events in Table 1 as sources of low energy (< 10 MeV) proton events [Nos. 6 (spectral class = 1), 63 (1), 72 (1), and 80 (3)], high energy (> 10 MeV) events with low ( $< 10^{-2} \text{ pr cm}^{-2} \text{ sec}^{-1} \text{ sr}^{-1}$ ) fluxes [81 (1)], or high energy events only observed by satellites far removed ( $> 60^{\circ}$ ) from the Earth-sun line [56 (3) and 84 (?)]. For these events, we have placed a "-" or an M (followed by the masking flux) in column 21 depending on whether the pre-event level was at quiet background or an event was in progress.

# 2.7 Major Proton Events, 1965-1979

By examining the proton association of the 113 U-bursts in Table 1, we can determine a false alarm rate for the U-burst forecast tool for predicting proton events above a given threshold. However, since some major proton events may be associated with flare-bursts without U-shaped spectra, or may have Sp  $(\ge 2 \text{ GHz}) < 800 \text{ sfu}$ ,  $^{6, 19}$  it is not possible to determine from Table 1 the fraction of proton events of a given peak intensity that will be associated with U-bursts. In order to determine this parameter, we have compiled the data in Table 2 for the 46 prompt proton events with J (> 10 MeV)  $\geq$  10 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup> (above preevent background) occurring from 1965 to 1979 that had unambiguous visible hemisphere (85°E  $\geq \phi \leq$  85°W) parent H $\alpha$  flare associations. This is the same list of events that was used in the study by Cliver et al, 19 but was not published there. The J (> 10 MeV)  $\geq$  10 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup> threshold was selected because it is currently in use at the NOAA Boulder forecast center. Columns 2 and 3 in Table 2 give the flare date and location. Columns 4 and 5 give the times of the associated Type II and Type IV bursts, respectively. In column 6, the peak-fluxdensity and the time of its occurrence at 200 MHz (184 to 328 MHz) are given. This is not a consensus or averaged value of the flux near the frequency, but is the highest flux value reported by any observatory on patrol in this frequency range during the time of the H $\alpha$  flare. This is also the case for column 7 where the maximum flux density reported by any observatory in the 10-GHz range (8.2 to 11.8 GHz) is listed along with the time of its occurrence. A "-" in columns 4 and 5 indicates that no event was reported; an N.O. means that the appropriate observations were not made. In column 8, a U denotes those flare-bursts that had U-shaped spectra satisfying the criteria used in Table 1, while a 40 indicates proton events with J (> 10 MeV)  $\geq$  40 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup>.

Table 2. Large Proton Events 1965-1979 With Unambiguous Visible-Disk-Flare Associations

1. 05 Feb 65 N08 M25	DATE	FLARE LOCATION	TYPE II	TYPE IV	200 MHz MAX FLUX/TIME	10 GHz MAX FLUX/TIME	U/(J>40)
2	(2)	(3)	(4)	(5)	(6)	(7)	(8)
2	1 05 Fab 65	. NOO 113E	- (2)	1000-1040	>250/2	0/1931	
1					·		
4. 28 Aug 66 N22 K04 1531-1548 1527-1640 (70000) / 1527 3880 / 1528						•	*.
5. 02 Sep 66 N22 MS9 67 N27 E28 1838-1905 1839-2320 N.O. 23000/1947 U/40 6. 23 May 67 N27 E28 1838-1905 1839-2320 N.O. 23000/1947 U/40 8. 09 Jun 68 S14 W08							
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13. 25 Feb 69	11. 31 Oct 68	S14 W37	2359-0005	0002-0035	790/0009	2000/0011	U/40
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33. 05 Nov 74	31. 10 Sep 74	N10 E61	2136-2158				U/40
34. 30 Apr 76	32. 19 Sep 74	NO9 W62	2233-2310	2232-0045	(968)/2238	3300/2240	U/40
35. 16 Sep 77 N07 W20 2233-2247 2230-0025 (2500)/2400 900/2308 7/40 36. 19 Sep 77 N08 W57 1038-1044 1042 1130 325/0950 2239/1037 U/40 37. 22 Nov 77 H24 W40 - 1002-1045 1600/1035 4735/1004 U/40 38. 13 Feb 78 N16 W18 0138-0200 0134-0400 300/0152 317/0202 -/40 39. 11 Apr 78 N22 W56 1359-1425 1350-1449 770/1405 1318/1354 U/40 40. 28 Apr 78 N22 E38 1320-1331 1319-1540 143600/1323 8728/1329 U/40 41. 07 May 78 N23 W72 0328-0355 0329-0715+ 15000/0329 3450/0329 U/40 42. 22 Jun 78 N18 E16 1704-1724 1703-1756 1150/1706 75/1742 1735-1748  43. 23 Sep 78 N35 W50 0958-1028 0954-1100 3850/1001 682/1002 -/40 44. 09 Oct 78 S14 W61 1959-2016 - * 4060/1950 415/1951 45. 21 Aug 79 N17 W40 0615-0645 0608-0620c 51/0613 27/0618 -/40	33. 05 Nov 74	S12 W78	1536-1551	1545-1700	1421/1535	321/1535	U/40(c)
36. 19 Sep 77 NO8 W57 1038-1044 1042 1130 325/0950 2239/1037 U/40 37. 22 Nov 77 N24 W40 - 1002-1045 1600/1035 4735/1004 U/40 38. 13 Feb 78 N16 W18 0138-0200 0134-0400 300/0152 317/0202 -/40 39. 11 Apr 78 N22 W56 1359-1425 1350-1449 770/1405 1318/1354 U/40 40. 28 Apr 78 N22 E38 1320-1331 1319-1540 143600/1323 8728/1329 U/40 41. 07 May 78 N23 W72 0328-0355 0329-0715+ 15000/0329 3450/0329 U/40 42. 22 Jun 78 N18 E16 1704-1724 1703-1756 1150/1706 75/1742 1735-1748  43. 23 Sep 78 N35 W50 0958-1028 0954-1100 3850/1001 682/1002 -/40 44. 09 Oct 78 S14 W61 1959-2016 - * 4060/1950 415/1951 45. 21 Aug 79 N17 W40 0615-0645 0608-0620c 51/0613 27/0618 -/40	34. 30 Apr 76	S08 W46	2106-2128	2105-0055	897/2103	3188/2108	U/40
37. 22 Nov 77 N24 W40 - 1002-1045 1600/1035 4735/1004 U/40 38. 13 Feb 78 N16 W18 0138-0200 0134-0400 300/0152 317/0202 -/40 39. 11 Apr 78 N22 W56 1359-1425 1350-1449 770/1405 1318/1354 U/40 40. 28 Apr 78 N22 E38 1320-1331 1319-1540 143600/1323 8728/1329 U/40 41. 07 May 78 N23 W72 0328-0355 0329-0715+ 15000/0329 3450/0329 U/40 42. 22 Jun 78 N18 E16 1704-1724 1703-1756 1150/1706 75/1742 1735-1748 43. 23 Sep 78 N35 W50 0958-1028 0954-1100 3850/1001 682/1002 -/40 44. 09 Oct 78 S14 W61 1959-2016 - * 4060/1950 415/1951 45. 21 Aug 79 N17 W40 0615-0645 0608-0620c 51/0613 27/0618 -/40			2233-2247	2230-0025	(2500)/2400	900/2308	7/40
38. 13 Feb 78 N16 W18 0138-0200 0134-0400 300/0152 317/0202 -/40 39. 11 Apr 78 N22 W56 1359-1425 1350-1449 770/1405 1318/1354 U/40 40. 28 Apr 78 N22 E38 1320-1331 1319-1540 143600/1323 8728/1329 U/40 41. 07 May 78 N23 W72 0328-0355 0329-0715+ 15000/0329 3450/0329 U/40 42. 22 Jun 78 N18 E16 1704-1724 1703-1756 1150/1706 75/1742 1735-1748 43. 23 Sep 78 N35 W50 0958-1028 0954-1100 3850/1001 682/1002 -/40 44. 09 Oct 78 S14 W61 1959-2016 - * 4060/1950 415/1951 45. 21 Aug 79 N17 W40 0615-0645 0608-0620c 51/0613 27/0618 -/40			1038-1044				- · ·
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10. 22 11.0				0608-0620c		· · · · · ·	-/40
TU AU RUT IU REJ RUU EATI GEUU GATU EEUU JULETI VUILEAN ITU	46. 15 Nov 79		2147-2206	2145-2235	90/2144	634/2151	-/40

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Table 2. Large Proton Events 1965-1979 With Unambiguous Visible-Disk-Flare Associations (Contd)

### Notes:

- (a) Ft. Davis reported unclassified bursts with Type II characteristics, 1800 to 1811 UT.
- (b) Type IV emission began at 0300 UT,  $\sim 2$  hr before the H $\alpha$  onset of the listed flare, and continued until 0523 UT.
- (c) The high frequency spectral maximum occurred at  $f \ge 35$  GHz (Sp  $\sim 2000$  sfu).
- \* Continuum or Type I activity, beginning during the listed flare, was reported for these events.

# 3. DATA ANALYSIS

# 3.1 Peak-Flux-Density Spectral Type vs Proton Events

In Table 3 we present our results on the association of proton events with large radio bursts of different spectral types for the events in Table 1. Since it is well known and understood in terms of interplanetary propagation that the protons accelerated in western hemisphere flares are more likely to be observed near Earth than those with an eastern hemisphere origin, we have divided the table into two parts, (a) and (b), corresponding to western- and eastern-hemisphere events, respectively. We have further divided the events from each hemisphere into clean and masked or ambiguous cases. The clean events are those in which the flare association is unambiguous, and a fresh injection of > 10-MeV protons is observed above the flux background, either quiet or disturbed, existing at the time of the flare.

Considering the clean cases only, the percentage association of protons with the three spectral types is as follows:

Spectral Type	West	East	Total
(1) U-burst	91% (31/34)	64% (25/39)	77% (56/73)
(2) Intermediate	71% (5 '7)	75% (3/4)	73% (8/11)
(3) Cutoff	75% (3/4)	18% (2/11)	33% (5/15)

The high degree of association between U-bursts and proton events for western hemisphere flares supports the evidence presented by Castelli and Barron, <sup>5</sup> indicating that the U-burst is an almost sufficient condition for the occurrence of a

Table 3. Peak-Flux-Density Spectral Class vs Proton Event Size

(A) WESTERN												
		"CLEAN" CASES									IS o	r NTS
LOGARITHM OF >10 MEV SPECTRAL TYPE	≥2	ı	0	-1	-2	<-2		≥2	ı	0	-1	-2
u - Shaped	10	12	4	3	2	3		2	6	3	4	2
INTERMEDIATE			2	2	ı	2			1	1	ı	1
CUT - OFF		1		2		_			-		2	5
UNCLASSIFIED	ı	2		1				1	2	3	ı	
					L	(49)				•		(36)

(B) EASTERN												
HEMISPHERE		"CLEAN" CASES							AMBIGUOUS or MASKED EVENT			
LOGARITHM OF ≥10 MEV PEAK FLUX SPECTRAL TYPE	22	_	0	-1	-2	<-2		≥2	ı	0	-1	-2
U - SHAPED	2	4	4	7	8	14		ı	-	6	7	8
INTERMEDIATE			ı		2	_		1		2		
CUT - OFF					-	9			3	3	2	3
UNCLASSIFIED		-	ı	-	1	4		ı			2	6
						(62						146

proton event of any size. However, we note that the large western hemisphere flare-bursts with intermediate and cutoff spectral classifications also have significant proton association (71 and 75 percent, respectively). Since the number of western hemisphere events of these two spectral types is small, it may be appropriate to increase our sample size by considering the percentage association of the three spectral types with protons for flares occurring anywhere on the visible disk (85°E  $\geq \phi \leq$  85°W). As expected, the percentage association for U-bursts is smaller when the whole sun is considered. It is significantly below the 97 percent (70/72) association found by Castelli and Barron for the visible disk. [We note that the full sun association increases to 82 percent (60/73) if one includes the four low energy/low flux proton events that were linked to Ubursts (Section 2). ] The proton association for the intermediate events is constant over the full disk, although the total number of cases (11) is still not large. For the entire sun, however, the percentage association of the cutoff events (33 percent) begins to distinguish itself from that of the U-bursts (77 percent) and the intermediate events (73 percent). Although one cannot rule out the propagation effect as the cause of the weak proton association of the eastern hemisphere cutoff events vs that of the U-bursts, we note that the longitudinal distribution in this hemisphere of flare-bursts of the three spectral types (with clean proton circumstances) does not appear to favor either the U-bursts or the intermediate events vs the bursts with cutoff spectra (Figure 10). The median eastern hemisphere longitude for such events in each spectral class is as follows: U-bursts (E38, 29 events), intermediate events (E50, 4), and cutoff events (E29, 11). Thus in a consideration of the relationship of microwave peak-flux-density spectra to proton events of any size, the U-shaped spectrum is differentiated primarily from the cutoff spectrum that is deficient, and in many cases apparently lacking, in 200-MHz emission.

# 3.2 The U-Burst as a Forecast Tool

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To derive a false alarm rate for the U-burst forecast tool, we counted as successes only those cases in which a U-burst was followed by a proton event with  $J > 10 \text{ MeV} \ge 10 \text{ pr cm}^{-2} \text{ sec}^{-1} \text{ sr}^{-1}$ . If we consider only western hemisphere events, we have 22 successes vs 21 false alarms for a false alarm rate of 4° percent (21/43). To determine the number of false alarms, we added the number of U-bursts without proton association to the number of U-bursts with clean and ambiguous/masked proton associations for which the characteristic of log (J 10 MeV) was  $\le 0$ . We did not consider the eight masked or ambiguous cases for which the peak  $\ge 10$ -MeV flux was above the prediction threshold. Technically, ambiguous cases with  $J = 10 \text{ MeV} \ge 10 \text{ pr cm}^{-2} \text{ sec}^{-1} \text{ sr}^{-1}$  should be counted as

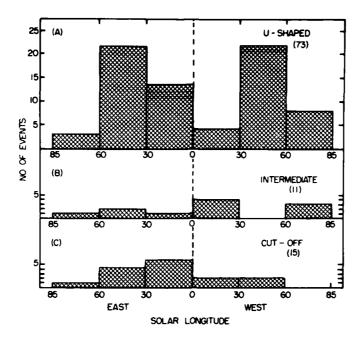


Figure 10. Histograms of the Longitudinal Distributions of the  $H\alpha$  Flares Associated With the Large Microwave Bursts in Table 1 Distributed According to Spectral Classification: (a) U-Shaped, (b) Intermediate, and (c) Cutoff. Only those events with clean proton circumstances are shown

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successes, but no such western hemisphere events occurred from 1965 to 1979, i.e., all eight events were masked. As a practical consideration, a "yes or no" forecast of a proton event above a given peak threshold is not very meaningful if that threshold is already exceeded, so we did not count these eight cases as false alarms. However, in this light, one might argue that the predictions for the Ubursts on 01 November 1968 or 04 and 07 August 1972, for example, should not be counted as successes but should similarly be disregarded since J (> 10 MeV) was above threshold at the time of the prediction. Without belaboring the point further, we will let it suffice to say that during the period from 1965 to 1979, proton predictions for western hemisphere flare-bursts with U-shaped spectra would have resulted in a false alarm rate of  $\sim 50$  percent for the current prediction threshold of J ( $\sim 10 \text{ MeV}$ )  $\geq 10 \text{ pr cm}^{-2} \text{ sec}^{-1} \text{ sr}^{-1}$ . Moreover, only 48 percent (22/46) of the large proton events listed in Table 2 would have been successfully forecast by the U-burst tool. Expanding the longitude range of flarebursts for which predictions are made will increase both the fraction of events successfully forecast (success rate) and also the false alarm rate. Tarnstrom 36

Reference 36 will not be listed here. See References, page 50.

noted that for the period from 1966 to 1976, the performance of the U-burst fore-east tool would have been optimized by issuing yes-no forecasts following U-bursts associated with flares located between E20 and W90. From the events in Tables 1 and 2, the values of the success and false alarm rates for this longitude range, actually E20 to W85, are 54 (25/46) and 56 percent (32/57) respectively. We note that even if the longitude range from E85 to W85, comprising all events in Table 2, is considered, the success rate is still only 61 percent (28/46) while the false alarm rate is 73 percent (75/103).

Since the U-burst forecast tool was originally developed for prediction of PCA events with  $\geq 2.0$  dB of 30-MHz riometer absorption,  $^{1-3}$  corresponding to proton events with J ( $\geq 10$  MeV)  $\geq 40$  pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup>,  $^{6}$ ,  $^{37}$  it is appropriate to consider how well it works for these larger events. Of the 46 events in Table 2, 29 (indicated by a 40 in column 10) had prompt components with J (> 10 MeV) ≥ 40 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup>. Of these 29 events, 22 had U-shaped microwave spectra, 6 definitely did not have U-shaped spectra, (Table 2, Nos. 12, 19, 38, 43, 45, and 46), and we were unable to classify the remaining event (No. 35) from the data reported in SGD. Since event No. 19 on 07 March 1970 is considered to be a doubtful flare association, 19 the percentage association of U-bursts with these large prompt proton events ranges from a worst case of 78 percent (22/29) to a best case of 82 percent (23/28) obtained by assuming No. 35 was a U-burst and disregarding No. 19 because of the parent flare ambiguity. It is interesting to note that four of the five large ( $\ge 40 \text{ pr cm}^{-2} \text{ sec}^{-1} \text{ sr}^{-1}$ ) proton events (disregarding No. 19) without U-burst association occurred after 1976. The 22 Ubursts associated with the J  $\stackrel{>}{\sim}$  40 proton events are not particularly distinguishable from the other U-bursts in Table 1. Although their peak flux densities at the centimeter and meter wavelengths tend to be larger, as might be expected, they range from values < 1000 sfu (Table 2, Nos. 2 and 34) to > 20,000 sfu (Nos. 6, 26, and 27) at 10 GHz, and from values  $\lesssim$  1000 sfu (Nos. 3, 11, 25, 36, and 39) to > 50,000 sfu (Nos. 13 and 40) at 200 MHz. Moreover, the microwave spectra of these events encompass the full variety of shapes that are allowed by our definition of a U and include classic examples such as (Table 2) Nos. 2, 7, 13, 33, and 37 [see Figures 1(a), 1(d), and 2(b)] as well as less obvious cases such as Nos. 3, 24, 25, 32, and 34 [see Figures 3(b)-3(d)].

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Juday, R. D., and Adams, G. W. (1969) Riometer measurements, solar proton intensities and radiation dose rates, Planet. Space Sci. 17:1313.

# 3.3 Radio Signatures of Major Proton Events

While Cliver et al  $^{19}$  demonstrated that a strong centimeter-wavelength emission peak (i.e., Sp > 100 sfu) is not a requirement for a prompt proton event with  $J \geq 10 \text{ MeV} \geq 10 \text{ pr cm}^{-2} \text{ sec}^{-1} \text{ sr}^{-1}$  to occur, it might be supposed that a prominent ( $\geq 1000 \text{ sfu}$ ) lower frequency (200 MHz) emission peak remains as a necessary observable for significant particle acceleration in (or escape from) flares. That this is not the case is shown in Figure 11, where a histogram of Sp ( $\sim 200 \text{ MHz}$ ) for the events in Table 2 is presented. Even though we used the largest  $\sim 200 \text{-MHz}$  flux density peak reported by any observatory on patrol (and occurring at any time during the listed H $\alpha$  flare), eight events (seven, if we ignore 07 March 1970) had Sp ( $\sim 200 \text{ MHz}$ )  $\leq 300 \text{ sfu}$ . Thus neither the high frequency ( $\sim 9 \text{ GHz}$ ) nor the low frequency ( $\sim 200 \text{ MHz}$ ) branch of the classical (i.e., Sp  $\geq 1000 \text{ sfu}$ ) U-burst appears to be a requirement for the occurrence of a large prompt proton event.

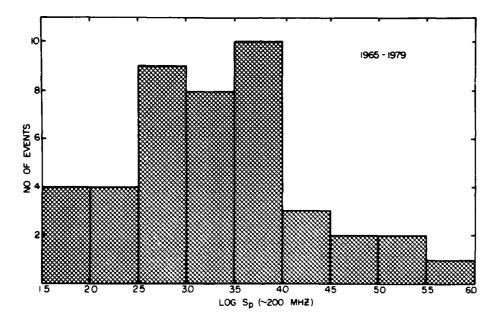


Figure 11. Histogram of the Reported Peak-Flux-Density at ~200 MHz for the Parent Flares of the Large [J ( $^{\sim}$  10 MeV)  $\geq$  10 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup>] Prompt Proton Events in Table 2 That Were Observed From 1965 to 1979. For each event we took the largest flux density reported by any observatory on patrol near 200 MHz (184 to 328 MHz) during the time of the associated Ho disk (85°E  $\geq \phi \leq 85$ °W) flare. Note that several (8 of 46) of these events have relatively weak ( $\leq$  300 sfu) emission at ~200 MHz

Work by Pick-Gutmann,  $^{38}$  Harvey,  $^{39}$  and Castelli and Tarnstrom  $^6$  indicated that the integrated microwave flux-density (E $_{\mu}$ ) obtained by taking the product of the burst mean flux-density and duration, might be an important parameter in regard to proton acceleration in flares.  $^{40}$  In particular, the Pick-Gutmann and Castelli and Tarnstrom studies suggest that an integrated flux-density  $E_{\mu} \gtrsim 10^{-17}$  Joules m $^{-2}$  Hz $^{-1}$  is a requirement (or threshold) for the observation of a polar cap absorption event. However, this value of  $E_{\mu}$  is relatively small and can be achieved by a predominantly thermal burst (gradual rise and fall or post-burst increase) with a mean flux-density of 15 sfu and a duration of two hours. In fact, with the possible exception of the 21 August event,  $^{18}$  the weak impulsive phase proton events discussed by Cliver et al $^{19}$  had values of  $E_{\mu} > 10^{-17}$ , primarily because of their long durations. Since there is no apparent close physical link between thermal microwave emission and non-thermal energetic protons,  $^{22}$  the concept of an integrated microwave flux-density threshold for proton acceleration in flares may be misleading.  $^{19}$ 

At this point, it is of interest to compare the U-shaped spectrum as an almost necessary or favorable condition for a significant proton event to occur with meter wavelength phenomena that have been linked to proton acceleration, specifically, Type II bursts  $^{20,21}$  and Type IV bursts.  $^{26,41,42}$  We find that Type IIs and Type IVs are associated with the events in Table 2 [and with the subset of events with J (> 10 MeV)  $\geq$  40 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup>] in the following percentages:

J (> 10 MeV)						
	$\geq$ 10 protons cm <sup>-2</sup> sec <sup>-1</sup> sr <sup>-1</sup>	> 40 protons cm <sup>-2</sup> sec <sup>-1</sup> sr <sup>-1</sup>				
Type II	80% (35/44)	85% (23/27)				
Type IV	84% (36/43)	92% (24/26)				
U-burst	65% (28/43)	81% (22/27)				

Pick-Gutmann, M. (1961) Evolution des emissions radioelectriques solaires de Type IV et leur relation avec d'autres phenomenes solaires et geophysiques, <u>Ann. Astrophys.</u> 24:183.

<sup>39.</sup> Harvey, G. A. (1965) 2800 megacycle per second radiation associated with Type II and Type IV solar radio bursts and the relation with other phenomena, J. Geophys. Res. 70:2961.

<sup>40.</sup> Kundu, M. R., and Haddock, F. T. (1960) A relation between solar radio emission and polar cap absorption of cosmic noise, Nature 186:610.

<sup>41.</sup> Bell, B. (1963) Type IV solar radio bursts, geomagnetic storms, and polar cap absorption (PCA) events, Smithsonian Contr. Ap. 8:119.

<sup>42.</sup> Maxwell, A., Defouw, R. J., and Cummings, P. (1964) Radio evidence for solar corpuscular emission, Planet. Space Sci. 12:435.

The 07 March 1970 event was not included in these percentages; also excluded were Nos. 25 (Type II), 2 [see footnote (b) to Table 2] and 25 (Type IV), and 30 and 35 (U-burst). We emphasize that these percentage associations were obtained strictly on the basis of data reported in SGD and QBSA. A reexamination of the sweep frequency records might reveal possible Type II events [e.g., Maxwell, 43 and Böhme and Kruger 44 reported possible Type IIs for two flares in the August 1972 sequence (Table 1, Nos. 100 and 101) for which no Type II burst was initially reported in SGD; see also footnote (a) to Table 2]. Nevertheless, in view of the perceived link between Type II bursts and proton events, it is interesting that ~ 20 percent of the events in Table 2, comprising the largest proton events observed from 1965 to 1979, did not have obvious associated metric Type II bursts. We suggest two reasons for the absence of meter Type IIs in several large proton events. First, Robinson et al<sup>45</sup> have recently shown that interplanetary Type II bursts, often associated with major particle events, 46 can have starting frequencies < 20 MHz and thus go undetected by ground-based sweep frequency patrols. Second, H. Ubarz (1984, private communication) informs us that a lack of dynamic range on the Weisenau spectrograph during this period (since corrected) could have resulted in a few Type IIs being masked by intense Type IV bursts.

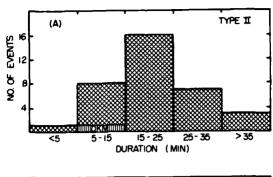
The distribution of the durations of Type II bursts for the events in Table 2 is given in Figure 12(a). This distribution is similar to that obtained by Kahler  $^{26}$  from a sample of Type II bursts associated with proton events of any size for the period from June 1973 to June 1980. (There are 17 common events in the two distributions.) In determining the percentage association for Type IVs, we did not consider reports of either continuum emission or Type I activity (beginning during the H $_{0}$  flare), both of which may be organically related to Type IV. The five events for which either of these emissions (but not Type IV) were reported are indicated in Table 2. The distribution of the durations of the Type IV events in Table 2 is given in Figure 12(b).

<sup>43.</sup> Maxwell, A. (1973) Dynamic spectra of four solar radio bursts during the period 1972 August 2-7, in Rep. UAG-28, pt. I, p. 255, H. E. Coffey, Ed., World Data Center A for Solar-Terr. Phys., Boulder, Colo.

<sup>44.</sup> Böhme, A., and Kruger, A. (1973) On the type IV bursts of August 2, 4 and 7, 1972, in Rep. UAG-28, pt. I, p. 260, H. E. Coffey, Ed., World Data Center A for Solar-Terr. Phys., Boulder, Colo.

Robinson, R. D., Stewart, R. T., and Cane, H. V. (1984) Properties of metre-wavelength solar bursts associated with interplanetary Type II emission, Sol. Phys. 91:159.

Cane, H. V., and Stone, R. G. (1984) Type II solar radio bursts, interplanetary shocks, and energetic particle events, <u>Astrophys. J.</u> 282:339.



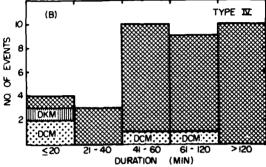


Figure 12. Histograms of the Durations of (a) Type II and (b) Type IV Emission for the Largest [J (> 10 MeV)  $\geq$  10 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup>] Disk Flare (85°E  $\geq \phi \leq$  85°W) Associated Prompt Proton Events That Occurred From 1965 to 1979. Events where only decimetric (DCM) or decametric (DKM) emissions were reported are indicated; all other events were observed at meter wavelengths

# 3.4 Microwave Spectral Class and Type II/IV Bursts

Because of the statistical relationships between U-bursts and proton events and between Type II/IV bursts and proton events, we have examined the associations of Type II/IV bursts with large microwave bursts of different peak-flux-density spectral types. The percentage associations of these phenomena are presented in Table 4, where it can be seen that the percentage associations of microwave bursts of different spectral class with Type II/IV bursts parallel their association with proton events (85°E  $\geq \phi \leq$  85°W). We note that the microwave events with cutoff peak-flux-density spectra also appear to be deficient in Type III bursts. The statistical results in Table 4 are consistent with the current picture  $^{17,47-49}$ 

References 47 to 49 will not be listed here. See References, page 50.

Table 4. Association of Sweep Frequency Bursts and Proton Events With Peak-Flux-Density Spectral Classes

ASSOCIATED PHENOMENA SPECTRAL TYPE	TYPE III	TYPE II	TYPE IV	TYPE II&/orIV	FULL DISK PROTONS (CLEAN"CASES)
U-SHAPED	93%	70%	73%	90%	77% <sup>(73)</sup>
INTERMEDIATE	72 <b>%</b>	61%	67%	78%	73%
CUT - OFF	(32) 34 %	12%	19%	22%	(i5) 33%
UNCLASSIFIED	80 <b>%</b>	68%	68%	80 %	67 <b>%</b> (12)

that the protons observed at Earth are accelerated at a shock front, and it appears that the U-bursts are preferentially related to protons, in contrast to cutoff events, because of their higher percentage association with Type II/IV events. We should be able to check this supposition directly by comparing the proton association of U-bursts (and cutoff events) that were accompanied by Type II and/or Type IV emission with those that were not. However, as can be seen from Table 4, the control group of U-bursts without Type II/IV association is relatively small. Only 11 U-bursts that lacked both Type II and Type IV associations are listed in Table 1. The spectra of three of these events, Nos. 19, 90, and 160, are shown in Figures 5(a), 5(c), and 2(c), respectively. Nine of these 11 events were associated with eastern hemisphere flares. For two of these nine events, Nos. 111 [-2 (M)] and 159 [ -1 (M)], possible proton events were masked by small events in progress, while only one of the remaining seven events, No. 90 (-2), was associated with protons at the level (≥ -2) considered. Of the two western hemisphere events, one, No. 160 [-1 (M)], was masked, and one was unassociated. Thus only one of the eight clean control events (albeit seven of these from eastern hemisphere flares) was associated with a >10-MeV proton event. For comparison, we note that 74 percent (23/31) of the clean eastern hemisphere U-bursts with Type II and/ or Type IV bursts had proton association. The seven clean eastern hemisphere Ubursts without Type II/IV association had a median longitude of 45°, slightly less favorable than the 31 clean eastern hemisphere U-bursts with Type II/IV association, 38°. A consideration of the associations of cutoff events with and without

Type II/IV bursts and proton events is also hampered by small numbers although the results are consistent with the overall statistics presented in Table 4; three of the five clean cutoff events (E16 median longitude) with Type II/IV association were related to > 10-MeV events as opposed to two of ten clean cutoff events (E20 median longitude) without Type II/IV association.

At this point, it is instructive to consider in greater detail some of the cutoff events that have proton association. For the event on 27 October 1968 [No. 29,  $\log (J) = -1$ ], Tanaka (see Svestka and Simon,  $^{30}$  Part 2), reports Type IV emission beginning at 1307 UT,  $\sim 30$  min after the peak listed in Table 1 and near the start of a major [Sp (5 GHz) = 860 sfu] burst that we consider to be a secondary peak in an extended flare event. Similarly for the event on 16 November 1970 [No. 79,  $\log (J) = -1$ ], the Type II/IV event begins at 0112 UT,  $\sim 20$  min after the listed peak, but near the maximum of a significant [Sp (9.4 GHz) = 1030 sfu] burst apparently associated with the same  $H\alpha$  flare. For both event Nos. 29 and 79, 200-MHz bursts were reported only in association with the later peak. These events indicate that it may be misleading to expect the spectrum of a single peak in a complex microwave burst to tell the entire story in regard to a flare's association with Type II/IV bursts and protons. For the above cases, it is tempting to speculate that the flares evolved from a compact to an open magnetic field structure.  $^{50}$ 

One cutoff burst was associated with a large J (> 10 MeV) proton event. In the 15 November 1979 event [log (J) = 1], the Type II/IV event began at 2147 UT, 4.2 min before the 10-GHz maximum. It can be seen from Svestka and Fritzova-Svestkova's  $^{21}$  Figure 4 that such events are relatively rare.  $^{39}$  This event also had a low ( $\leq 2.7$  GHz) and apparently broad spectral maximum [Figure 9(d)]. In the published Penticton record of this event,  $^{12}$  the listed peak is preceded by a smaller [Sp (2.8 GHz)  $\sim 250$  sfu] peak at 2142 UT. Thus we tentatively identify the listed event as a secondary peak in a complex microwave Type IV event,  $^{51}$  and, as such, note that it may have a rather different nature than the other cutoff events in Table 1.

Pallavicini, R., Serio, S., and Vaiana, G. S. (1977) A survey of soft x-ray limb flare images: the relation between their structure in the corona and other physical parameters, Astrophys. J. 216:108.

<sup>51.</sup> Cliver, E. W. (1983) Secondary peaks in solar microwave outbursts, Sol. Phys. 84:347.

# 3.5 Timing of Type II Burst and 200-MHz Peak

Given the statistical associations between Type IIs, protons, and U-bursts (and the relative deficiency of Type II emission and proton association in the cutoff events), it seems logical to ask if the shock wave observed via the Type II burst, and presumably accelerating the protons, might in some way account for the low frequency branch of the U, particularly the high fluxes often observed near 200 MHz. There are two possible ways that the Type II burst could account for, or contribute to, the 200-MHz radiation. First the Type II itself is generally an intense emission with flux densities ranging from  $\sim 50$  to several thousand sfu. 24 For those events with relatively high starting frequencies, emission at the second harmonic would be in the 200-MHz range and thus might contribute to the low frequency branch of the U. About one-third of Type II bursts have fundamental starting frequencies > 100 MHz, <sup>52</sup> and about 60 percent of Type IIs exhibit harmonic structure. 24 A second possible way in which a shock wave might contribute to the 200-MHz emission that often comprises the low frequency branch of the U is through the flare continuum emission designated as FC II by Robinson and Smerd. 53 This emission follows the Type II burst at any frequency and is thought to be due to shock accelerated electrons trapped in a large scale magnetic loop. 54 To see if either the Type II or FC II could contribute to the 200-MHz emission in U-bursts, we determined whether the associated (if any) Type II burst was in progress at the time of the 200-MHz peak (within the sliding five-minute window) for each of the U-bursts in Table 1. We counted as concomitant those cases in which Type II bursts were in progress or began within ≤ 0.5 min after the average peak time at 200 MHz. Since the low frequency branch of the U-shaped spectrum may be due to flash phase accelerated electrons, we also looked to see if a Type III burst was in progress at the time of the low frequency maximum (Xs in column 21 of Table 1), since these emissions are a characteristic component of the impulsive phase. 55 (Type IV emission was in progress at the time of the 200-MHz peak for about half of the U-bursts, but since flare continuum can also have

<sup>52.</sup> Maxwell, A., and Thompson, A. R. (1962) Spectral observations of radio bursts, II: slow drift bursts and coronal streamers. Astrophys. J. 135:138.

<sup>53.</sup> Robinson, R. D., and Smerd, S. F. (1975) Solar flare continua at the metre wavelengths, Proc. ASA 2:374.

<sup>54.</sup> Robinson, R. D. (1978) A study of solar flare continuum events observed at metre wavelengths, Aust. J. Phys. 31:533.

<sup>55.</sup> Kane, S. R. (1974) Impulsive (flash) phase of solar flares: Hard x-ray microwave, euv and optical observations, in <u>Coronal Disturbances</u>, Proc. of IAU Symp. No. 57, p. 105, G. Newkirk, Jr., Ed., D. Reidel Pub. Co., Dordrecht, Holland.

a component attributed to primary phase electrons,  $^{54}$  an ambiguity exists.) The results of the timing comparisons were as follows:

Ir	rog	ress a	t
Time	of 200	-MHz	Peak*

	(%)		
Type II only	19		
Type II and Type III	30		
Type III only	44		
Neither	7		

<sup>\*</sup>Sample size = 103 events. For 10 events the reported 200-MHz maximum either fell well outside the five-minute window, observations were not made at 200 MHz, or sweep frequency observations were not available.

From these statistics, it can be seen that the FC II and Type II emission could contribute to the peak 200-MHz emission in U-bursts in at most  $\sim$  50 percent of the cases, assuming that the starting frequency of the fundamental Type II emission is  $\gtrsim 100$  MHz. For 21 U-bursts in Table 1 that occurred during Culgoora observing hours, we were able to check the starting frequencies of the associated Type IIs from a compilation by Robinson et al. 56 Harmonic emission started at  $f \gtrsim 200$  MHz for only about half of these events (11/21 = 52 percent),  $^{52}$ although for those events where the Type II was in progress at the time of the 200-MHz peak, harmonic emission began at  $f \gtrsim 200$  MHz in 71 percent (10/14) of the cases. (We note in passing that only one of the 11 20th solar cycle U-bursts had starting harmonic frequencies  $\gtrsim 200~\mathrm{MHz}$  vs 10 of 10 from the 21st solar cycle.) For 51 percent of the U-bursts in our sample, a Type II was either not observed, ended prior to, or began  $\geq 0.5$  min after the peak of the 200-MHz emission. A comparison of the peak 200-MHz flux densities of these U-bursts (the 51 percent) with those of the Type II coincident events revealed no marked differences between the two distributions. The median 200-MHz flux value of the Type II coincident events (3400 sfu) is larger, as might be expected, but the median value for the non-coincident events (2000 sfu) is also well above the minimum value ( $\gtrsim 1000$  sfu) required for the classical U-burst. Since the 200-MHz peak is coincident with

<sup>56.</sup> Robinson, R. D., Tuxford, J. M., Sheridan, K. V., and Stewart, R. T. (1983) A catalogue of major metre-wavelength solar events recorded by the DAPTO and Culgoora solar radio observatories (1961-1981), Proc. ASA 5:84.

Type III emission for 74 percent of the U-bursts examined, it appears that flash phase electrons are primarily responsible for the low frequency branch of the U-shaped spectrum.

### 4. DISCUSSION

# 4.1 Summary

From this study of the peak-flux-density spectra of nearly 200 large [Sp ( $\ge 2$  GHz)  $\ge 800$  sfu] microwave bursts and their associated proton and sweep frequency emissions, we have found the following:

- (1) There appear to be two basic peak-flux-density spectral types: (a) U-shaped, with two maxima  $\geq 800$  sfu in the range from 200 MHz to  $\geq 10$  GHz (59 percent of all events) and (b) cutoff, with a spectral maximum  $\geq 800$  sfu at  $f \geq 2$  GHz and Sp (200 MHz) < 100 sfu (18 percent). Nine percent of the events had what we termed intermediate spectra with a spectral maximum  $\geq 800$  sfu at  $f \geq 2$  GHz and 100 sfu  $\leq$  Sp (200 MHz) < 800 sfu. We were unable to classify 15 percent of the events in our data sample.
- (2) If the current NOAA proton prediction threshold of J (> 10 MeV)  $\geq$  10 protons cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup> had been in effect during the period covered by our data base (1965-1979), the U-burst "yes or no" proton event forecast tool would have had a false alarm rate of  $\sim$  50 percent and would have failed to provide a warning for  $\sim$  50 percent of the significant prompt proton flares attributable to disk flares during this period. These figures apply if proton event warnings had been issued only following U-bursts associated with western hemisphere flares. If warnings had been made following U-bursts from anywhere on the sun (85°E  $\geq$   $\phi$   $\leq$  85°W), the false alarm rate would have been 73 percent, and 39 percent of the significant proton events would not have been predicted by this method.
- (3) The associations of flare-bursts (85°E  $\geq \phi \leq 85$ °W) of different peak-flux-density spectral type with Type II and/or Type IV bursts and with > 10-MeV proton events of any peak intensity ( $\geq 0.01$  pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup>) are as follows: U-shaped Type II/IV (90 percent of U-bursts are associated with Type II/IV events), protons (77 percent); intermediate Type II/IV (78 percent), protons (73 percent); cutoff Type II/IV (22 percent), protons (33 percent).
- (4) In 74 percent of the microwave bursts with U-shaped spectra, the 200-MHz emission peak occurred during a Type III event. For 49 percent of the U-bursts, a Type II was in progress during, or began  $\leq 0.5$  min after, the peak 200-MHz emission.

(5) Several (8 of 46) of the proton events with J (> 10 MeV)  $\geq$  10 protons cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup> (1965 - 1979) originated in visible hemisphere flares with relatively weak (Sp  $\approx$  300 sfu) associated 200-MHz emission.

### 1.2 The U-Burst as a Prediction Tool

The pessimistic picture of the U-shaped peak-flux-density spectrum as a proton prediction tool that we have presented in this study contrasts with that of carlier studies. 5 We point out, however, that the differences in our results stem primarily from: (1) the use of a lower event prediction threshold than was previously used, 7 i.e., J (> 10 MeV)  $\cdot$  10 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup> vs J<sub>~</sub> 40 pr cm<sup>-2</sup> sec<sup>-1</sup>  $\mathrm{sr}^{-1}$ ,  $^2$ ,  $^6$  and (2) the observation after 1976, the final year considered in studies by Castelli and Barron<sup>5</sup> and Castelli and Tarnstrom, <sup>6</sup> of several (Table 2, Nos. 38, 43, 45, and 46) large (J = 40) proton events that originated in flares with non-U microwaye spectra. Despite differences in the basic approach (and the classification of several individual events) between ours and the earlier studies, our results pertaining to the U-burst as a forecast tool are in general agreement with those of Castelli and his co-workers for the prediction threshold and the time period they considered. Moreover, until a more reliable early indicator of proton acceleration/escape in flares is identified, the U-burst tool (or variants 57) will most likely continue to be used in combination with  $H\alpha$  and sweep-frequency radio signatures at solar forecast centers.

Nevertheless, the recent observation of four large (J  $\gtrsim$  40) proton events [two of which (Table 2, Nos. 43 and 45) were ground level events] associated with microwave bursts with non-U spectra underscores suspicions raised in other studies  $^{19}, 22, 26$  that the U-shaped spectrum may not have a strong physical connection with the process by which the protons observed at Earth are accelerated. Even for the J  $\gtrsim$  40 events that were preceded in  $\sim$  80 percent of the cases by bursts with U-shaped spectra, the wide variation in spectral shape among events like 06 April 1971 [Table 1, No. 86, and Figure 3(c)] with a large decimetric peak and weak 200-MHz emission, events like 07 July 1966 and 24 January 1971 [No. 85, Figure 3(b)] that are classified as U-bursts because of relatively sharp spectral variations in the decimetric range, and the more classic types such as Nos. 5 and 131 [Figures 1(a) and 1(d)], makes it difficult to embrace U-bursts as

<sup>57.</sup> Akinyan, S. T., Chertok, I. M., and Fomichev, V. V. (1979) Quantitative forecasts of solar protons based on solar flare radio data, in <u>Solar Terrestrial Predictions Proceedings</u>, vol. 3, D-14, R. F. Donnelly, Ed., <u>National Oceanic and Atmospheric Administration</u>, Boulder, Colo.

Svestka, Z. (1976) Solar Flares, D. Reidel Pub. Co., Hingham, Mass., p. 193.

a special class of microwave bursts that are somehow uniquely related to interplanetary proton events. We attribute the high percentage of association (31 of 34 western hemisphere cases) of these phenomena to the fact that U-bursts are generally (90 percent of the time) accompanied by Type II and/or Type IV bursts indicative of a second stage process involving a shock wave.

# 4.3 The Low Frequency Branch of the U-Shaped Spectrum

Kundu and Vlahos<sup>59</sup> have suggested that the U-shaped spectrum is a reflection of nothing more than the fact that there are two different sources of burst radiation, one for centimeter wavelengths and one for decimeter wavelengths, with different electron energy distributions and different magnetic fields. In this study we asked whether the two emission maxima might not also reflect different acceleration processes for the radiating electrons that give rise to the separate branches of the U-shaped spectrum. In particular we entertained a picture in which a shock wave might account for the low frequency (~ 200 MHz) branch of the U, either through emission from the second harmonic of the Type II burst or through flare continuum (FC II) radiation,  $^{54}$  in those cases where the starting frequency of the fundamental Type II burst is  $\geq$  100 MHz. We found that this picture cannot obtain in general since a Type II burst was in progress at the time of the low frequency maximum (nominally at 200 MHz) for only about half of the Ubursts in our sample. This conclusion is based on the assumption that the shock either does not exist or is incapable of accelerating electrons prior to the occurrence of a Type II burst. In 74 percent of the cases, the peak 200-MHz emission in U-bursts occurred at the time of reported Type III emission, suggesting that the low frequency branch of the U is primarily due to radiation from flash phase electrons. In fact, since both the starting frequency and intensity of Type III emission can be expected to increase with the size of the associated microwave (hard x-ray) burst, <sup>60</sup> it seems likely that, for the U-bursts, the low frequency branch is often due to the Type III burst itself. In this context we note that, in addition to having relatively weak proton and Type II associations, the cutoff events in our sample were also deficient in Type III emission.

Kundu, M. R., and Vlahos, L. (1982) Solar microwave bursts - a review, Space Science Reviews 32:405.

<sup>60.</sup> Kane, S. R. (1981) Energetic electrons, type III radio bursts, and impulsive solar flare x-rays, Astrophys. J. 247:1113.

# 4.4 U-Bursts and the Big Flare Syndrome

The large [Sp (≥ 2 GHz) ≥ 800 sfu] microwave bursts examined in the study tend to have U-shaped peak-flux-density spectra (59 percent, 113/193) and to be associated with Type II/IV bursts (76 percent, 139/184) and > 10-MeV proton events (69 percent, 77/111). However, the small number of events with U-shaped spectra that lacked both Type II and Type IV emission were poorly associated with interplanetary protons. This argues that the Type II/IV burst is the critical observable for particle acceleration and not the U-shaped spectrum. The fact that the statistical association of the cutoff bursts with proton events parallels their associations with Type II/IV bursts provides additional support for this contention. In addition, we note that for the majority of the U-bursts in our sample, the high fluxes often observed near 200 MHz appear to be more closely related to Type III emission than to the shock wave (Type II burst) that is presumably accelerating the protons. Thus we conclude that the U-shaped spectrum, at both high ( $\sim 10$ GHz) and low (~200 MHz) frequencies, is primarily an impulsive phase phenomenon and that the observed statistical U-burst/proton association is probably due to the Big Flare Syndrome<sup>22</sup> rather than the result of a direct physical connection between these two phenomena. The observation that the cutoff events are deficient in Type III as well as Type II emission relative to the U-bursts, however, suggests that a less direct or "once-removed" connection may exist between the U-shaped spectrum and proton acceleration in that the probability of shock formation (Type II/protons) in these large flares apparently increases in more open magnetic field structures (Type III/U-burst).

# 4.5 Impulsive Phase Proton Acceleration

Forrest<sup>61</sup> and Forrest and Chupp<sup>62</sup> have recently presented  $\gamma$ -ray evidence indicating that ions are accelerated along with electrons in the impulsive phase of all flares. However, Cliver et al<sup>63</sup> have shown that the correlation between  $\gamma$ -ray line fluences and interplanetary proton fluxes is poor. This leaves open the possibility that the ions observed at the sun via gamma ray line emission are accelerated by a different process than the bulk of the protons detected at 1 a.u. In particular, we favor a picture, as advocated above, in which the protons observed at Earth are accelerated in a second stage process involving a shock wave. <sup>64,65</sup>

Cane et al<sup>65</sup> have shown that interplanetary particles accelerated during the flare impulsive phase have a narrower cone of emission/propagation than those presumably accelerated by a shock wave. In this context we note that nine of the

References 61 to 65 will not be listed here. See References, page 50.

11 U-bursts lacking Type II/IV association originated in eastern hemisphere flares, making it difficult to observe at Earth any impulsively accelerated protons that may have escaped from the sun in these events.

# 4.6 Proton Flares With Weak 200-MHz Emission

As a final comment, we note that in the largest disk flare associated proton events (J > 10) observed from 1965 to 1979, the 200-MHz emission was often relatively weak, ≤ 300 sfu in eight of 46 cases. While either Type II or Type IV emission was lacking in a comparable number of cases, the identification of these sweep frequency events is more subject to interpretation, and it is possible that upon reexamination of the original records, the missing phenomenon might be noted. The 200-MHz records should be less ambiguous, however, and we considered the highest flux density reported by any observatory during the associated  ${
m H}lpha$  flare. Moreover, inspection of Table 2 reveals that several of even the events with J (> 10 MeV)  $\geq$  40 pr cm<sup>-2</sup> sec<sup>-1</sup> sr<sup>-1</sup> had relatively weak emission at  $f \sim 200$  MHz, the lowest frequency currently monitored on a 24-hr per day basis by the ground based solar radio patrol. Thus the low-frequency (~ 200 MHz) branch of the classical (i.e.,  $Sp \geq 1000 \, sfu$ ) U-burst does not appear to be a requirement for the occurrence of a large prompt proton event. The lack of a radio response at this frequency commensurate with the observed intensities of these large proton events indicates that, for certain flares, a radio signature of particle acceleration/escape may only exist at lower frequencies (< 200 MHz) as was the case for the 04 October 1965 proton flare, 66,67 the GLE-associated flare on 21 August 1979, <sup>18</sup> and the eruptive filament event on 05 December 1981. <sup>68</sup>

Böhme, A. (1972a) The time behavior of the continua during the initial stage of type IV bursts, Sol. Phys. 24:457.

<sup>67.</sup> Böhme, A. (1972b) Spectral behaviour and proton effects of the type IV broad band continua, Sol. Phys. 25:478.

<sup>68.</sup> Kahler, S. W., Cliver, E. W., Cane, H. V., McGuire, R. E., Stone, R. G., and Sheeley, Jr., N. R. (1986) Solar filament eruptions and energetic particle events, Astrophys. J. (in press).

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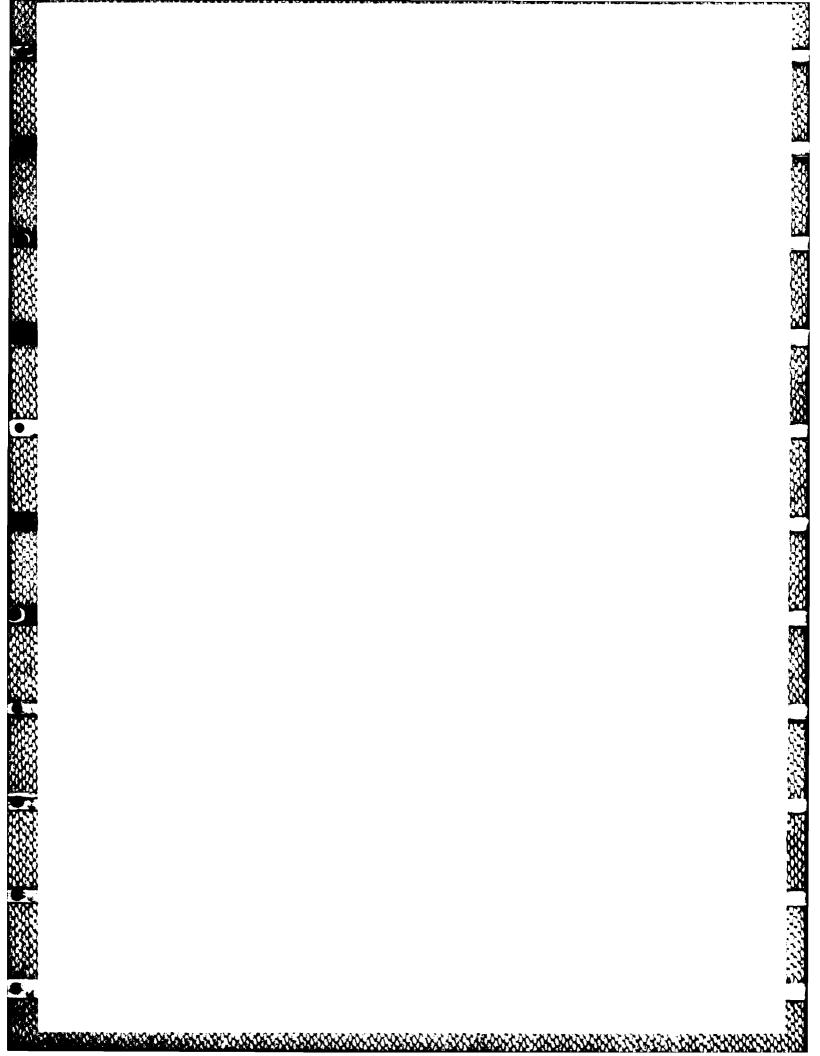
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40